

1 The soil water characteristic as new class of
2 closed-form parametric expressions for the flow
3 duration curve

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4 **Abstract.** The flow duration curve is a signature catchment character-
5 istic that depicts graphically the relationship between the exceedance prob-
6 ability of streamflow and its magnitude. This curve is relatively easy to cre-
7 ate and interpret, and is used widely for hydrologic analysis, water quality
8 management, and the design of hydroelectric power plants (among others).
9 Several mathematical formulations have been proposed to mimic the FDC.
10 Yet, these efforts have not been particularly successful, in large part because
11 available functions are not flexible enough to portray accurately the func-
12 tional shape of the FDC for a large range of catchments and contrasting hy-
13 drologic behaviors. Here, we extend the work of *Vrugt and Sadegh* [2013] and
14 introduce several commonly used models of the soil water characteristic as
15 new class of closed-form parametric expressions for the flow duration curve.
16 These soil water retention functions are relatively simple to use, contain be-
17 tween two to five parameters, and mimic closely the empirical FDCs of 408
18 catchments of the MOPEX data set. If quality of fit is of main importance
19 then the 5-parameter bimodal retention function of Kosugi is preferred, whereas
20 a 2-parameter formulation of this model is deemed superior for diagnostic
21 analysis as the fitting coefficients are well defined and exhibit a negligible
22 correlation.

1. Introduction

23 The flow duration curve (FDC) is a widely used characteristic signature of a watershed,
24 and is one of the three most commonly used graphical methods in hydrologic studies, along
25 with the mass curve and the hydrograph [*Foster, 1934*]. The FDC relates the exceedance
26 probability (frequency) of streamflow to its magnitude, and characterizes both the flow
27 regime and the streamflow variability of a watershed. It is closely related to "survival"
28 function in statistics [*Vogel and Fennessey, 1994*], and is interpreted as a complement to
29 the streamflow cumulative distribution function (CDF). The FDC is frequently used to
30 predict the distribution of streamflow for water resources planning purposes, to simplify
31 analysis of water resources problems, and to communicate watershed behavior to those
32 who lack in-depth hydrologic knowledge. One should be particularly careful to rely solely
33 on the FDC as main descriptor of catchment behavior [*Vogel and Fennessey, 1995*] as the
34 curve represents the rainfall-runoff as disaggregated in the time domain and hence lacks
35 temporal structure [*Searcy, 1959; Vogel and Fennessey, 1994*].

36 The first application of the FDC dates back to 1880 and appears in the work by Clemens
37 Herschel [*Foster, 1934*]. Ever since, the FDC has been used in many fields of study
38 including (among others) the design and operation of hydropower plants [*Singh et al.,*
39 2001], flow diversion and irrigation planning [*Chow, 1964; Warnick, 1984; Pitman, 1993;*
40 *Mallory and McKenzie, 1993*], streamflow assessment and prediction [*Tharme, 2003*],
41 sedimentation [*Vogel and Fennessey, 1995*], water quality management [*Mitchell, 1957;*
42 *Searcy, 1959; Jehng-Jung and Bau, 1996*], waste-water treatment design [*Male and Ogawa,*
43 1984], and low-flow analysis [*Wilby et al., 1994; Smakhtin, 2001; Pfannerstill et al., 2014*].

44 Recent studies have used the FDC as a benchmark for quality control [Cole *et al.*, 2003],
45 and signature or metric for model calibration and evaluation [Refsgaard and Knudsen,
46 1996; Yu and Yang, 2000; Wagener and Wheeler, 2006; Son and Sivapalan, 2007; Yadav
47 *et al.*, 2007; Yilmaz *et al.*, 2008; Zhang *et al.*, 2008; Blazkova and Beven, 2009; Westerberg
48 *et al.*, 2011; Vrugt and Sadegh, 2013; Pfannerstill *et al.*, 2014; Sadegh and Vrugt, 2014a;
49 Sadegh *et al.*, 2014b]. For instance, Vrugt and Sadegh [2013] used the fitting coefficients
50 of a simple parametric expression of the FDC as summary statistics in diagnostic model
51 evaluation with approximate Bayesian computation (ABC).

52 Application of FDCs for hypothesis testing [Kavetski *et al.*, 2011] can improve identifica-
53 bility and help attenuate the problems associated with traditional residual-based objective
54 (likelihood) functions (e.g. Nash-Sutcliffe, sum of squared residuals, absolute error, rel-
55 ative error) that emphasize fitting specific parts of the hydrograph, such as high or low
56 flows [Schaeffli and Gupta, 2007; Kavetski *et al.*, 2011; Westerberg *et al.*, 2011], and thereby
57 lose important information regarding the structural inadequacies of the model [Gupta *et*
58 *al.*, 2008, 2012; Vrugt and Sadegh, 2013]. The FDC is a signature watershed character-
59 istic that along with other hydrologic metrics, can help shed lights on epistemic (model
60 structural) errors [Euser *et al.*, 2013; Vrugt and Sadegh, 2013]. For example, Son and
61 Sivapalan [2007] used the FDC to highlight the reasons of model malfunctioning and to
62 propose improvements to the structure of their conceptual water balance model for the
63 watershed under investigation. Indeed, a deep groundwater flux was required to simulate
64 adequately dominant low flows of the hydrograph. Yilmaz *et al.* [2008] in a similar effort
65 to improve simulation of the vertical distribution of soil moisture in the HL-DHM model,
66 used the slope of the FDC as benchmark for model performance. The FDC was deemed

67 suitable for this purpose due to its strong dependence on the simulated soil moisture dis-
68 tribution, and relative lack of sensitivity to rainfall data and timing errors. However, the
69 proposed refinements of the HL-DHM model were found inadequate and this failure was
70 attributed to the inherent weaknesses of the conceptual structure of HL-DHM.

71 The usefulness of duration curves (e.g. precipitation [*Yokoo and Sivapalan, 2011*], base-
72 flow [*Kunkle, 1962*] and streamflow (flow) [*Hughes and Smakhtin, 1996*]) depends in large
73 part on the temporal resolution of the data (e.g. quarterly, hourly, daily, weekly, and
74 monthly) these curves are constructed from. FDCs derived from daily streamflow data
75 are commonly considered to warrant an adequate analysis of the hydrologic response of
76 a watershed [*Vogel and Fennessey, 1994; Smakhtin, 2001; Wagener and Wheater, 2006;*
77 *Zhao et al., 2012*]. For example, a FDC with a steep mid section (also referred to as slope)
78 is characteristic for a watershed that responds quickly to rainfall, and thus has a small
79 storage capacity and large ratio of direct runoff to baseflow. A more moderate slope, on
80 the contrary, is indicative of a basin whose streamflow response reacts much slower to
81 precipitation forcing with discharge that is made up in large part of baseflow [*Yilmaz et*
82 *al., 2008*].

83 The shape of the FDC is determined by several factors including (amongst others) to-
84 pography, physiography, climate, vegetation cover, land use, and storage capacity [*Singh,*
85 *1971; Lane et al., 2005; Zhao et al., 2012*], and can be used to perform regional analysis
86 [*Wagener and Wheater, 2006; Masih et al., 2010*] or to cluster catchments into relatively
87 homogeneous groups that exhibit a relatively similar hydrologic behavior [*Coopersmith et*
88 *al., 2012; Sawicz et al., 2011*]. Different studies have appeared in the hydrologic litera-
89 ture that have analyzed how the shape of the FDC is affected by physiographic factors

90 and/or vegetation cover. Despite this progress made, interpretation of the FDC can be
91 controversial if an insufficiently long streamflow data record is used [*Vogel and Fennessey,*
92 1994]. The lower end of the FDC (low flows) is particularly sensitive to the period of
93 study, and to whether the streamflow data includes severe droughts or not [*Castellarin et*
94 *al., 2004a*]. If the available data is sparse and does not warrant an accurate description
95 of the FDC, then the use of an annual duration curve is advocated [*Searcy, 1959; Vogel*
96 *and Fennessey, 1994; Castellarin et al., 2004a, b*]. This curve describes the relationship
97 between the magnitude and frequency of the streamflow for a "typical hypothetical year"
98 [*Vogel and Fennessey, 1994*]. To construct an annual FDC, the available data is divided
99 into n years and individual FDCs are constructed for each year. Then, for each exceedance
100 probability a median streamflow is derived from these n different FDCs and used to create
101 the annual FDC. *Vogel and Fennessey* [1994] used this concept to associate confidence
102 and recurrence intervals to FDCs in a nonparametric framework. One should note that
103 the FDC of the total data record is, in general, more accurate than the annual FDC
104 [*Leboutillier and Waylen, 1993*].

105 To better analyze and understand the physical controls on the FDC, it is common prac-
106 tice to divide the total FDC (TFDC) into a slow (SFDC) and fast (FFDC) flow component
107 [*Yokoo and Sivapalan, 2011; Cheng et al., 2012; Coopersmith et al., 2012; Yaeger et al.,*
108 *2012; Ye et al., 2012*]. For example, *Yokoo and Sivapalan* [2011] concluded from numer-
109 ical simulations with a simple water balance model that the FFDC is controlled mainly
110 by precipitation events and timing, whereas the SFDC is most sensitive to the storage
111 capacity of the watershed and its baseflow response. This type of analysis is of particular
112 value in regionalization studies, and prediction in ungauged basins. Indeed, much effort

113 has gone towards prediction of the FDC in ungauged basins using measurements of the
114 rainfall-runoff response from hydrologically similar, and preferably geographically nearby,
115 gauged basins [*Holmes et al.*, 2002; *Sivapalan et al.*, 2003].

116 In this context, one approach has been to cluster catchments into classes with simi-
117 lar physiographic and climatic characteristics, and then to estimate dimensionless (non-
118 parametric) FDCs for gauged basins which in turn are then applied to ungauged basins
119 [*Niadas*, 2005; *Ganora et al.*, 2009]. The FDCs are normalized by an index value (e.g.
120 mean annual runoff) to generate dimensionless curves [*Ganora et al.*, 2009]. A detailed
121 review on methods for clustering of homogeneous catchments appears in *Sauquet and*
122 *Catalogne* [2011] and *Booker and Snelder* [2012], and interested readers are referred to
123 these publications for more information. Another approach has been to mimic the em-
124 pirical (observed) FDC with a mathematical/probabilistic model and to correlate the
125 fitting coefficients of such parametric expressions to physical and climatological charac-
126 teristics of the watershed using regression techniques [*Fennessey and Vogel*, 1990; *Yu et*
127 *al.*, 1996, 2002; *Croker et al.*, 2003; *Castellarin et al.*, 2004a, b, 2007; *Li et al.*, 2010;
128 *Sauquet and Catalogne*, 2011]. Such pedotransfer functions can then be used to predict
129 the FDC of ungauged basins from simple catchment data (e.g. soil texture, topography,
130 vegetation cover, etc.).

131 Models that emulate the FDC can be grouped in two main classes: 1. Physical models
132 that use physiographic and climatic characteristics of the watersheds (e.g. drainage area,
133 mean areal precipitation, soil properties, etc.) as parameters of the FDC [*Singh*, 1971;
134 *Dingman*, 1978; *Yu et al.*, 1996; *Holmes et al.*, 2002; *Yu et al.*, 2002; *Lane et al.*, 2005;
135 *Botter et al.*, 2008; *Mohamoud*, 2008]; and, 2. Probabilistic/mathematical functions that

136 use between two to five fitting coefficients to mimic the empirical FDC as closely and
137 consistently as possible [*Quimpo et al.*, 1983; *Mimikou and Kaemaki*, 1985; *Fennessey*
138 *and Vogel*, 1990; *Leboutillier and Waylen*, 1993; *Franchini and Suppo*, 1996; *Cigizoglu*
139 *and Bayazit*, 2000; *Crocker et al.*, 2003; *Botter et al.*, 2008; *Li et al.*, 2010; *Booker and*
140 *Snelder*, 2012].

141 The early work of *Dingman* [1978] is the first study that used physical models to mimic
142 empirical FDCs. Topography maps were used as signature of catchment behavior to
143 predict the FDC using relatively simple first-order polynomial functions. Two more recent
144 studies by *Yu et al.* [1996, 2002] used similar regression functions to predict the FDC of
145 catchments in Taiwan but considered the drainage area as main proxy of the rainfall-runoff
146 transformation.

147 Probabilistic methods include the use of lognormal and lognormal mixture [*Fennessey*
148 *and Vogel*, 1990; *Leboutillier and Waylen*, 1993; *Castellarin et al.*, 2004a; *Li et al.*, 2010],
149 generalized Pareto [*Castellarin et al.*, 2004b], generalized extreme value, gamma and Gum-
150 bel [*Booker and Snelder*, 2012], beta [*Iacobellis*, 2008], and logistic distributions [*Castel-*
151 *larin et al.*, 2004b]. Unfortunately, the presence of temporal correlation between successive
152 (e.g. daily) streamflow observations violates some of the basic assumptions of these classi-
153 cal statistical distributions, hence casting doubt on the validity of such models to describe
154 closely and consistently the FDC [*Vogel and Fennessey*, 1994]. Other mathematical mod-
155 els include (amongst others) the use of exponential, power, logarithmic [*Quimpo et al.*,
156 1983; *Franchini and Suppo*, 1996; *Lane et al.*, 2005; *Booker and Snelder*, 2012], and poly-
157 nomial functions [*Mimikou and Kaemaki*, 1985; *Yu et al.*, 2002]. In another line of work,
158 *Cigizoglu and Bayazit* [2000] used convolution theory to predict the FDC as a product

159 of periodic and stochastic streamflow components. What is more, *Crocker et al.* [2003]
160 used probability theory to combine a model that predicts the FDC of days with non-zero
161 streamflow with a distribution function that determines randomly the probability of dry
162 days.

163 Notwithstanding this progress made, the probabilistic and mathematical functions used
164 in the hydrologic literature fail, usually, to properly mimic all parts of the FDC when
165 benchmarked against watersheds with completely different hydrologic behaviors. Conse-
166 quently, some researchers focus only on a specific portion of the FDC, commonly the low
167 flows [*Fennessey and Vogel*, 1990; *Franchini and Suppo*, 1996], whereas others prefer to
168 use several percentiles of the FDC rather than the entire curve [*Lane et al.*, 2005; *Mo-*
169 *hamoud*, 2008; *Blazkova and Beven*, 2009]. While complex "S" shaped FDCs can only
170 be modeled adequately if a sufficient number of parameters (say five to seven) are used
171 [*Ganora et al.*, 2009], one should be particularly careful using such relatively complex FDC
172 models for regionalization and prediction in ungauged basins as parameter correlation and
173 insensitivity can complicate and corrupt the inference and results.

174 In this paper, we introduce a new class of closed-form mathematical expressions which
175 are capable of describing the FDCs of a very large number of watersheds with contrasting
176 hydrologic behaviors. We extend the ideas presented in *Vrugt and Sadegh* [2013], and
177 propose several commonly used functions of the soil water characteristic as mathematical
178 models for the observed (empirical) FDCs. These models have between two to five param-
179 eters and describe closely the FDCs of the MOPEX data set. In fact, a watershed can be
180 viewed as a large porous medium that holds and transports water depending on the soil
181 moisture content and applied boundary conditions (rainfall and potential evapotranspira-

182 tion). This analogy opens up many new possibilities for analyzing the FDC and provides
183 us with an arsenal of new mathematical expressions that can be used for (amongst others)
184 hydrologic modeling, prediction in ungauged basins and regionalization.

185 This work is a follow up of *Vrugt and Sadegh* [2013] who introduced a 2-parameter for-
186 mulation of the van Genuchten (VG) retention function [*van Genuchten*, 1980] as model
187 for the FDC. The fitting coefficients of this function were derived by calibration against
188 the observed FDC of the French Broad river basin and used as summary statistics in
189 diagnostic model evaluation with ABC. Here, we extend this preliminary work, and intro-
190 duce and benchmark several other soil water retention functions (SWRFs) using historical
191 streamflow observations from 408 watersheds of the MOPEX data set. We are particularly
192 interested in the quality of fit of each model, parameter sensitivity and correlation, and
193 regionalization potential of the fitting coefficients.

194 The remainder of this paper is organized as follows. Section 2 provides a brief review
195 of the most commonly used probabilistic and empirical models in the literature to mimic
196 the FDC, and introduces several closed-form expressions of the soil water characteristic
197 to emulate FDCs. This section also discusses the MOPEX data set, and the optimization
198 procedure to estimate the values of the fitting coefficients of each SWRF. Then in section
199 3, we present and discuss the fitting results of each of the proposed parametric expressions.
200 Here, we are especially concerned with benchmarking of our results against those of the
201 FDC models used in the literature, analysis of parameter sensitivity and correlation,
202 and regionalization potential of the fitting coefficients of the SWRFs. Finally, Section 4
203 concludes this paper with a summary of the most important findings.

2. Materials and methods

2.1. Experimental data: MOPEX data set

204 We use daily streamflow data from 438 MOPEX watersheds to analyze the ability of
205 different mathematical functions to fit the empirical FDCs. This includes watersheds
206 from across the United States with different hydrologic behaviors. The empirical FDCs
207 are constructed using all of the available streamflow data for each watershed. Figure 1
208 shows the observed FDCs for eight of the MOPEX watersheds. The y -axis is scaled to a
209 maximum value of one so that the FDCs of the watersheds can be compared more easily
210 visually. A large variety in the FDCs of the MOPEX data set is observed. This confirms
211 the need for a flexible function that can adequately describe the large range of hydrologic
212 behaviors.

213 This rich archive of 438 catchments in the USA contains relatively long records of daily
214 hydrologic data (rainfall, potential evapotranspiration and streamflow). Six watersheds
215 were excluded from our analysis due to a lack of streamflow observations, and another
216 24 catchments were removed due to the presence of a very large number of days with
217 zero flow. The remaining 408 catchments have between 236 and 19,997 daily streamflow
218 observations and were used for FDC model testing and evaluation. Obviously, a 236 day
219 record is insufficient to warrant an accurate description of the FDC - nevertheless this data
220 length is still appropriate for testing of the proposed models. Note, for 213 watersheds
221 more than 54 years of daily streamflow data is available.

2.2. Probabilistic and mathematical functions

The functions most widely used in the literature to fit the observed FDCs are briefly reviewed. The skew and peakiness so clearly visible in histograms of long data records of

daily streamflow prompts the use of the Gumbel distribution to model empirical FDCs [Booker and Snelder, 2012]. The CDF of the Gumbel distribution is given by

$$\mathcal{F}_{\mathbf{Y}}(y_t|a_G, b_G) = \exp \left[-\exp \left(-\frac{y_t - a_G}{b_G} \right) \right], \quad (1)$$

where $\mathcal{F}_{\mathbf{X}}$ denotes the cumulative density, a_G signifies the location, and b_G measures the scale of y_t . The Gumbel distribution is a particular case of the generalized extreme value (GEV) distribution, whose CDF is given by

$$\mathcal{F}_{\mathbf{Y}}(y_t|a_{\text{GEV}}, b_{\text{GEV}}, c_{\text{GEV}}) = \exp \left(- \left[1 + c_{\text{GEV}} \left(\frac{y_t - a_{\text{GEV}}}{b_{\text{GEV}}} \right) \right]^{-1/c_{\text{GEV}}} \right), \quad (2)$$

where a_{GEV} , b_{GEV} , and c_{GEV} are the location, scale, and shape parameters, respectively. The shape parameter, c_{GEV} , controls the skewness of the distribution, and enables fitting of tailed streamflow distributions. The GEV distribution is widely used in the field of hydrology to model extremes (floods and droughts) [Katz *et al.*, 2002], as well as FDCs [Booker and Snelder, 2012]. Note that if $c_{\text{GEV}} = 0$, the GEV distribution simplifies to the Gumbel distribution. The exceedance probability, G_t , of a streamflow observation/simulation, y_t , can be derived from Equations 1 and 2 by simply calculating

$$G_t = 1 - \mathcal{F}_{\mathbf{Y}}(y_t|\cdot). \quad (3)$$

This concludes our description of the probabilistic models of the FDC. We continue our review with two widely used mathematical functions of the FDC, one of which is the 2-parameter exponential function of *Quimpo et al.* [1983] which is given by

$$y_t = a_Q \exp(-b_Q G_t), \quad (4)$$

where a_Q (mm/day) and b_Q (-) are fitting coefficients. This function predicts streamflow, y_t , based on exceedance probability, G_t , while the CDFs predict exceedance probability,

G_t , based on the observed discharge, y_t . We can simply invert Equation 4 to derive a mathematical expression for the exceedance probability, G_t , whose value can be compared directly against the results of Equation 3 for the probabilistic models

$$G_t = -\frac{1}{b_Q} \log \left(\frac{y_t}{a_Q} \right). \quad (5)$$

Franchini and Suppo [1996] proposed another function to model empirical FDCs. Their 3-parameter model is defined as

$$y_t = b_{FS} + a_{FS}(1 - G_t)^{c_{FS}}, \quad (6)$$

in which a_{FS} (mm/day), b_{FS} (mm/day) and c_{FS} (-) are fitting coefficients. This function was originally proposed to only fit the low flows of the FDC, but has been used to model the entire range of the FDC as well [*Sauquet and Catalogne*, 2011]. Inversion of Equation 6 provides a direct expression for the exceedance probability

$$G_t = 1 - \left(\frac{y_t - b_{FS}}{a_{FS}} \right)^{1/c_{FS}}, \quad (7)$$

so that we can compare this model against the CDF of the probabilistic models.

Practical experience suggests that the parametric models reviewed thus far are not flexible enough to warrant a close description of the FDC for all different exceedance probabilities, let alone capture adequately the large variability that exists among the FDCs of different watersheds. In the next section we introduce a new class of closed-form parametric expressions of the FDC which accurately describe the FDCs for a large range of hydrologic behaviors.

2.3. Proposed formulations

The functional shape of the FDC has many elements in common with that of the soil water characteristic. This is graphically illustrated in Figure 2 which plots the water

retention function of five different soils presented in *van Genuchten* [1980]. These curves depict the relationship between the volumetric moisture content, θ (x -axis) and the corresponding pressure head, h (y -axis) of a soil and are derived by fitting Equation

$$\theta = \theta_r + \frac{\theta_s - \theta_r}{[1 + (\alpha|h|)^n]^m} \quad (8)$$

to experimental (θ, h) data collected in the laboratory. This equation is also known as the van Genuchten (VG) model and contains five different parameters, where θ_s (cm^3/cm^3) and θ_r (cm^3/cm^3) denote the saturated and residual moisture content, respectively, and α ($1/\text{cm}$), n (-) and m (-) are fitting coefficients that determine the air-entry value and slope of the WRF. In most studies, the value of m is set conveniently to $1 - 1/n$ which not only reduces the number of parameters to four, but also provides a closed-form expression for the unsaturated soil hydraulic conductivity function [*van Genuchten*, 1980].

The shape of the WRFs plotted in Figure 2 show great similarity with the FDCs displayed previously in Figure 1. This suggests that Equation 8 might be an appropriate parametric expression for describing the functional relationship between the exceedance probability of streamflow (x -axis) and its magnitude (y -axis). To make sure that the exceedance probability is bounded exactly between 0 and 1, we set $\theta_r = 0$ and $\theta_s = 1$, respectively. This leads to the following 2-parameter VG formulation of the FDC proposed by *Vrugt and Sadegh* [2013]

$$G_t = \left[1 + (a_{\text{VG}} y t)^{b_{\text{VG}}} \right]^{1/b_{\text{VG}} - 1}, \quad (9)$$

where the parameters a_{VG} (day/mm), and b_{VG} (-) need to be determined by fitting against the observed FDC. If deemed appropriate, we can further increase the flexibility of Equation

238 9 by defining $c_{\text{VG}} = 1/b_{\text{VG}} - 1$. In this paper, we will test both the 2- and 3-parameter VG
 239 formulations of the FDC.

The VG model is used widely in porous flow simulators to describe numerically variably saturated water flow. Yet, many other hydraulic models have been proposed in the vadose zone literature to characterize the retention and unsaturated soil hydraulic conductivity functions. We consider here the lognormal WRF of *Kosugi* [1994, 1996]

$$G_t = \begin{cases} \frac{1}{2} \operatorname{erfc} \left[\frac{1}{\sqrt{2} b_{\text{K}}} \log \left(\frac{c_{\text{K}} - y_t}{c_{\text{K}} - a_{\text{K}}} \right) \right] & \text{if } y_t < c_{\text{K}} \\ 1 & \text{if } y_t \geq c_{\text{K}} \end{cases}, \quad (10)$$

240 where "erfc" denotes the complimentary error function, and a_{K} (mm/day), b_{K} (-) and c_{K}
 241 (mm/day) are fitting coefficients that need to be determined by calibration against the
 242 empirical FDC of each watershed. We can simplify this 3-parameter Kosugi formulation
 243 of the FDC by setting $c_{\text{K}} = 0$. This assumption is appropriate for experimental data
 244 of the soil water characteristic, and we therefore consider both the 2- and 3-parameter
 245 formulations in our numerical studies with the MOPEX data set presented later.

246 The main reason to use Kosugi's WRF rather than other commonly used parametric
 247 expressions of the WRF such as *Brooks and Corey* [1964], is that the parameters can be
 248 related directly to the pore size distribution and hence exhibit a much better physical
 249 underpinning than their counterparts of the VG model. This might increase the chances
 250 of regionalization efforts.

The 2- and 3-parameter formulations of the Kosugi and VG models of the WRF assume implicitly a unimodal pore size distribution. This assumption might not be realistic for some soils and can lead to a relatively bad fit of these unimodal pore size distribution models to the observed water retention data. Soils with a heterogeneous (e.g. bimodal) pore size distribution can be much better described if we use a mixture of two or more

WRFs. We follow *Durner* [1994] and propose the following mixture FDCs for watersheds with a complex hydrologic response

$$G_t = \sum_{i=1}^k \left(w_{i,\text{VG}} \left[1 + (a_{i,\text{VG}} y_t)^{b_{i,\text{VG}}} \right]^{1/b_{i,\text{VG}}-1} \right), \quad (11)$$

$$G_t = \sum_{i=1}^k \left(\frac{w_{i,\text{K}}}{2} \operatorname{erfc} \left[\frac{1}{\sqrt{2} b_{i,\text{K}}} \log \left(\frac{y_t}{a_{i,\text{K}}} \right) \right] \right), \quad (12)$$

where k denotes the number of constituent modes, $w_{i,\text{X}}$ signifies the weight of each individual WRF ($w_{i,\text{X}} \geq 0$ and $\sum_{i=1}^k w_{i,\text{X}} = 1$), and $a_{i,\text{X}}$ and $b_{i,\text{X}}$ are the fitting coefficients of the i th WRF. The subscript X stands either for VG or K. If fitting of the FDC is of main importance, then one could use a relatively large value for k (e.g. $k = 5$). Yet, for diagnostic analysis and regionalization one should be particularly careful not to overfit the empirical FDCs. Indeed, considerable trade-off exists between the quality of fit of the FDC and the identifiability and correlation of the FDC model coefficients. The more parameters that are used to emulate the FDC, the better the fit to the empirical curve but at the expense of an increase in parameter uncertainty and correlation. In the present study we use $k = 2$ and hence mimic the empirical FDCs with a mixture of two VG or two Kosugi WRFs. For both classes of models, this mixture formulation has five parameters.

A bimodal FDC would seem appropriate for catchments with two or more discerning flow paths. For example, if the catchment exhibits two dominant modes (e.g. surface runoff and baseflow) then a bimodal mixture formulation of the FDC would seem appropriate. Table 1 summarizes the 2-, 3- and 5-parameter formulations of VG and Kosugi used herein to model the FDC. These models will be tested against the empirical FDCs of the MOPEX data set. These results will be presented in the next section. For completeness we have also tabulated the probabilistic models used herein.

2.4. FDC model parameter estimation

Now we have discussed the different models for the FDC (see Table 1), we are left with inference of their coefficients. We have developed a MATLAB program called FDC_Fitting which automatically determines the best values of the fitting coefficients of the FDC models used herein for a given streamflow data record. Graphical output is provided as well. FDC_Fitting implements a multi-start gradient-based local optimization approach with Levenberg Marquardt (LM) [*Marquardt, 1963*] to rapidly locate the "best" values of the FDC model parameters. A classical sum of squared error (SSE) objective function is used to summarize the distance between the observed and simulated FDCs,

$$\text{SSE}(\mathbf{x}|\tilde{\mathbf{Y}}) = \sum_{t=1}^N \left(\tilde{G}_t - G_t(\mathbf{x}|\tilde{y}_t) \right)^2, \quad (13)$$

where \mathbf{x} is the vector of fitting parameters (differs per FDC model), $\tilde{\mathbf{Y}} = \{\tilde{y}_1, \dots, \tilde{y}_N\}$ denotes the observed streamflow data, and \tilde{G}_t and G_t signify the observed and FDC modeled exceedance probabilities, respectively. To maximize LM search efficiency, the Jacobian matrix is computed using analytical expressions for $\partial G_t / \partial x_i$ ($i = \{1, \dots, d\}$), the partial sensitivity of the output (exceedance probability) predicted by each FDC model with respect to its individual model parameters. Moreover, 20 different LM trials were used each with a different starting point, drawn randomly from $\mathcal{U}_d[0, 1]$, the d -dimensional uniform distribution on the interval between zero and one.

The LM search method is computationally efficient and much faster than global optimization methods. What is more, it does not require specification of a prior parameter space (except that all parameters are at least zero). Nevertheless, in some cases (< 5%) the LM method was unable to reduce substantially the fitting errors of the (randomly chosen) starting points and the (derivative-free) Nelder-Mead Simplex algorithm [*Nelder*

282 *and Mead, 1965]* was used instead to minimize Equation 13. Numerical results for the
283 3- and 5-parameter VG and Kosugi FDC models demonstrate (not shown) that this hy-
284 brid two-step approach provides better results for some of the watersheds than commonly
285 used global optimizers. This is in large part due to the rather peculiar properties of the
286 SSE-based response surfaces (flat with one small solution pocket).

287 To derive explicit estimates of FDC parameter uncertainty, we also solved for the poste-
288 rior distribution of the fitting coefficients using Bayesian inference with DREAM [*Vrugt et*
289 *al., 2008, 2009*]. We assume a uniform prior distribution for all the FDC parameters, and
290 sample the target distribution by maximizing a standard Gaussian (least-squares type)
291 log-likelihood function, $\log(p(\mathbf{x}|\tilde{\mathbf{Y}})) = -N/2 \log(\text{SSE}(\mathbf{x}|\tilde{\mathbf{Y}}))$ where p denotes the poste-
292 rior density. In our application of DREAM, we used default settings of the algorithmic
293 variables, and convergence of the parallel chains to a limiting distribution was assessed
294 with different diagnostics.

3. Results and discussion

295 Table 2 summarizes the performance of each of the FDC models for the MOPEX data
296 set used herein. We list separately the first (mean) and second-order (standard deviation)
297 moment of the Root Mean Square Error (RMSE) of the fit to the empirical FDCs of the
298 408 different watersheds. For model selection purposes we also list the number of times
299 (expressed in %) each respective FDC model with two, three or five parameters achieves
300 the lowest RMSE among its constituent formulations with similar number of parameters
301 (degrees of freedom).

302 The results in Table 2 highlight several key findings. Firstly, the 2-parameter FDC
303 formulations of Kosugi and VG exhibit substantially lower mean RMSE values than their

304 counterparts with similar structural complexity (Gumbel, Quimpo, and Franchini and
305 Suppo) proposed in the literature. Secondly, of the four different 2-parameter models,
306 the Kosugi WRF is preferred for more than 60% of the watersheds as this model best fits
307 the empirical FDCs. What is more, the two parameters of this parsimonious formulation
308 of Kosugi exhibit a negligible correlation (shown later) which constitutes an important
309 practical advantage for model fitting, regionalization and diagnostic model evaluation
310 (discussed later). Thirdly, among the 3-parameter formulations of the FDC, the Kosugi,
311 VG and GEV models exhibit a somewhat similar performance (according to RMSE), and
312 their fit is much better than that of the model of Franchini and Suppo. Nevertheless,
313 the Kosugi WRF is most supported by the available data as it achieves the lowest RMSE
314 for about 56% of the catchments. Finally, the 5-parameter formulations of Kosugi and
315 VG best fit the empirical FDCs of the MOPEX data set. The mean RMSE of these two
316 models ranges between 0.008 - 0.009 (computed in exceedance space) and is substantially
317 lower than values of 0.024 - 0.029 and 0.016 - 0.017 for their 2- and 3-parameter variants,
318 respectively. Altogether, our results favor the Kosugi WRF as model for the FDC as this
319 WRF consistently provides the closest fit to the observed FDCs (in terms of mean RMSE)
320 for all different model complexities.

321 To provide more insights into the fitting results for individual catchments, consider
322 Figure 3 that plots histograms of the RMSE values of the 408 different watersheds. The
323 left column (A-D) plots the results for the 2-parameter FDC models, whereas the middle
324 and right columns illustrate the results for the 3- and 5-parameter models, respectively.
325 Color coding is used to differentiate among the FDC models. For instance, the color black
326 in plot A, E and I refers to VG, blue in B, G, and J signifies the Kosugi model, and the

327 other colors (cyan, light and dark green, and gray) are used for the remaining models
328 proposed in the literature. To simplify visual interpretation a common x -axis was used
329 for all different models and complexities.

330 The results presented in Figure 3 confirm our earlier conclusions that the proposed
331 WRF-based FDC models are superior to the probabilistic and mathematical FDC models
332 proposed and used in the literature. For the 2-parameter functions (left column, plot
333 B), the Kosugi model is preferred as its distribution of RMSE values is most oriented
334 towards the left in direction of zero RMSE. The distributions of the RMSE values of
335 the 3-parameter formulations of VG and Kosugi (plot E and F) are quite similar, and in
336 close agreement with the histogram derived from the GEV model (plot G). These three
337 models perform substantially better than the model of Franchini and Suppo (plot H),
338 whose distribution of RMSE values is approximately Gaussian but with a much larger
339 mode (about 0.08) and standard deviation. The mixture formulations of VG and Kosugi
340 with five parameters respectively, exhibit the best overall performance. The mode of their
341 RMSE histogram is in closest vicinity of zero and the dispersion (standard deviation) of
342 this distribution is smallest of all different model complexities. Overall, the 5-parameter
343 Kosugi function provides the most accurate fit to the empirical FDCs of the 408 MOPEX
344 watersheds, and should be used if the main purpose for application of these models is
345 solely to predict the exceedance probability for a given streamflow value.

Thus far we have focused our attention on the quality of fit of the VG and Kosugi FDC models without recourse to assessment of their parameter sensitivity, identifiability and correlation. Figure 4 plots, for a large range of streamflow values, the sensitivity of the simulated exceedance probabilities to each of the individual parameters in the VG (top

panel) and Kosugi (bottom panel) model. Color coding is used to differentiate among the different parameters of each model. We separately display the results for the 2- (left column), 3- (middle column), and 5- (right column) parameter formulations of both models. We follow *Vrugt et al.* [2002] and derive these partial sensitivities, $\partial G_t / \partial x_i$ analytically by differentiation of the VG and Kosugi WRF models to each of its parameters. For example, for the 2-parameter formulation of Kosugi, $\mathbf{x} = \{a_K, b_K\}$, the partial parameter sensitivities are given by

$$\begin{aligned} \partial G_t / \partial a_K &= \frac{1}{\sqrt{2\pi}} a_K^{-1} b_K^{-1} \exp\left(\frac{\log(y_t/a_K)^2}{2b_K^2}\right)^{-1} \\ \partial G_t / \partial b_K &= \frac{1}{\sqrt{2\pi}} \log(y_t/a_K) b_K^{-2} \exp\left(\frac{\log(y_t/a_K)^2}{2b_K^2}\right)^{-1}, \end{aligned} \quad (14)$$

and their numerical values are plotted in Figure 4B with a blue and red line, respectively using representative values of a_K , b_K , and $\mathbf{Y} = \{y_1, \dots, y_N\}$. The results presented in Figure 4 highlight several important findings.

In the first place, the parameters of the VG and Kosugi FDC models appear most sensitive at lower streamflow values (0 - 10 mm/day), and are almost insensitive to high flow levels. In other words, the values of the FDC parameters are determined primarily from low and intermediate flow levels (nondriven part of the hydrograph). Secondly, parameter sensitivity decreases with increasing model complexity. This finding is most apparent for the VG model and confirms the well known trade-off that exists between quality of fit and parameter identifiability. Indeed, the more parameters that are used to fit the empirical FDCs the more difficult it becomes to constrain their values. We will revisit this topic later using Bayesian inference with DREAM. Thirdly, the parameters reach their maximum sensitivity at approximately similar streamflow values. This finding is rather unfortunate as it makes both models vulnerable to parameter correlation (interaction).

360 This is not particularly desirable if the fitting coefficients are used as summary statistics
361 for diagnostic model evaluation . Finally, the partial parameter sensitivities appear jointly
362 positive or negative (exceptions are the 3-parameter Kosugi and 5-parameter VG model)
363 and one would therefore expect most (or all) of the parameters in each model to be
364 correlated negatively. This can be easily verified if we consider a simple linear function
365 with unknown slope and intercept. If we assume these two fitting coefficients to be
366 larger than zero, then their partial sensitivities are both positive. This must induce a
367 negative parameter correlation as an overestimation of the slope can be compensated for
368 (somewhat) by a lower value of the intercept. A similar negative correlation is found
369 where the slope and intercept are strictly negative. Thus if parameter uncertainty is of
370 main concern then the 2-parameter formulations of VG and Kosugi are preferred as their
371 fitting coefficients are most sensitive and thus best defined by fitting against the empirical
372 FDCs.

373 We now provide a more detailed visual comparison of the observed and fitted FDCs. We
374 select three watersheds with widely different rainfall-runoff response from the MOPEX
375 data set and present their empirical FDCs in Figures 5 (Green river), 6 (Kankakee river),
376 and 7 (Little river). The fit of the VG, Kosugi, Gumbel, Quimpo, GEV and Franchini
377 and Suppo models is color coded and indicated with the black, blue, cyan, dark green,
378 light green and gray lines, respectively. The top panel in each figure uses a linear scale
379 of the observed streamflow values, whereas the bottom panel displays the results using
380 a logarithmic scale of the flow levels. The use of these two scales helps to illuminate
381 differences among the models. The three different columns portray the results of the 2-
382 (left: A,D), 3- (middle: B,E), and 5- (right: C,F) parameter formulations.

383 The FDCs of the three different watersheds plotted in Figures 5, 6 and 7 differ quite
384 substantially from each other. Not only in terms of the range of their streamflow observa-
385 tions (y -axis) but also their functional shapes. The FDCs of the Green and Little rivers
386 appear more similar and follow closely a strongly nonlinear, banana-shaped function when
387 plotted using a linear streamflow scale. The Kankakee watershed on the contrary has an
388 obvious right tail, which suggests the presence of an air-entry value in WRFs. Note that
389 all these three different FDCs become "S"-shaped when a logarithmic scale is used for the
390 streamflow values.

391 Visual comparison of each of the models against the data demonstrates that the pro-
392 posed WRF formulations of the FDC are in much better agreement with their measured,
393 empirical, counterparts than the existing FDC models used in the literature. This is most
394 obvious in the bottom panel of each Figure when a logarithmic scale is used for the stream-
395 flow values. If we focus attention to the the 2-parameter FDC models, then the Kosugi
396 model exhibits the best overall fit. This conclusion is also supported by our findings in
397 Table 2, which shows that for about 60% of the MOPEX watersheds the Kosugi model is
398 superior and achieves the lowest RMSE. Although the 2-parameter VG model nicely fits
399 the FDCs of a very large number of watersheds, this model systematically overestimates
400 the exceedance probabilities in the left tail of the FDC curve at the higher flow levels.
401 This deficiency is particularly noticeable for the Little river (Figure 7) and highlights a
402 structural weakness of the VG model for watersheds with a very large number of low and
403 high flow events and relatively few streamflow observations in between.

404 The flow of the Little river falls rapidly from approximately 35 to 7 mm/day, then
405 decreases linearly to about 2 mm/day, and then smoothly reduces to values of zero.

406 Although the 2-parameter formulations of VG and Kosugi cannot describe perfectly the
407 FDC of this watershed, their 5-parameter variants (Figure 7C and F) are superior and fit
408 adequately the exceedance probabilities for the entire range of streamflow values. This
409 finding is important as it points to the presence of two or more alternating flow regimes of
410 the Little river watershed. Indeed, this particular watershed alternates rapidly between a
411 relatively dry and wet state, which, in part, is caused by the temporal characteristics of
412 the rainfall (long dry periods interrupted by short but heavy precipitation events). During
413 the long dry periods the runoff of this basin is made up entirely of baseflow originating
414 directly from the groundwater reservoir. This flow regime changes dramatically during a
415 storm event when a large fraction of water is transported directly to the stream network
416 by surface runoff. This rapid change in flow path can only be simulated adequately with
417 a mixture of two (or more) WRFs. We conclude that if the 5-parameter formulations
418 of the VG and Kosugi models much better fit the FDCs than their 2-, and 3-parameter
419 formulations, the watershed likely experiences two or more alternating flow regimes.

420 The 3-parameter formulations of VG and Kosugi achieve a very similar fit to the data
421 and their results can be considered nearly equivalent for these three watersheds. However,
422 some deviations of the 3-parameter VG model are apparent at low flows (high exceedance
423 probabilities), particularly for the Green and Little river watersheds. From the fitting
424 results of these three watersheds we conclude that the Kosugi class of functions is best
425 supported by the available FDC data.

426 We now proceed our analysis with an in-depth study of the interaction between the
427 parameters of the WRF-based FDC formulations. Table 3 lists average linear correlation
428 coefficients of the fitting coefficients of the VG and Kosugi models derived from MCMC

429 simulation with DREAM using the 408 different watersheds of the MOPEX data set.
430 These values are calculated by computing the mean of the absolute values of the linear
431 correlation coefficients among the posterior parameter samples of each individual water-
432 shed. Absolute values have to be used for each watershed to avoid cancellation of positive
433 and negative correlations. Of course, strong parameter correlation is not of much im-
434 portance if quality of fit is the main concern in application of the VG and Kosugi FDC
435 models, but becomes particularly important if the fitting coefficients of each model are
436 used as summary metrics for diagnostic analysis. Independent model parameters are also
437 desired for regionalization studies when relating model parameters to one or more basin
438 characteristics.

439 Results demonstrate that the 2-parameter formulation of Kosugi is preferred if param-
440 eter correlation is of paramount importance. The two parameters of this FDC model
441 exhibit an average linear correlation coefficient of about 0.16. This correlation is negligi-
442 bly small and of little concern for regionalization studies and diagnostic analysis. Quite
443 interestingly, the two parameters of the VG model interact much stronger with correlation
444 coefficient that exceeds the value of 0.8. Apparently, the 2-parameter formulation of Ko-
445 sugi not only exhibits the highest quality of fit of all models with two fitting coefficients
446 (e.g. Table 2) but also the lowest parameter interaction. This model is preferred for
447 diagnostic analysis and regionalization studies (discussed later).

448 The correlation among the fitting coefficients of the VG and Kosugi models increases
449 significantly if the number of FDC parameters is enhanced. Indeed, for the 3- and 5-
450 parameter variants of VG and Kosugi the correlation coefficients have increased to values
451 of about 0.7 - 0.9 and 0.4 - 0.7, respectively. These formulations do fit better the observed

452 FDCs (see Table 2) but at the expense of a significant increase in parameter correlation.
453 Quite interestingly, the correlation coefficients of the 5-parameter VG model are lower than
454 its 3-parameter counterpart. It is not immediately obvious what causes this reduction in
455 parameter correlation. We leave this for future studies.

456 Now we have determined that the Kosugi model is preferred for FDC fitting and diag-
457 nostic analysis, we now present in Figures 8 and 9 the results of our 2- and 5-parameter
458 formulations of this model for 12 different watersheds in the USA with quite different
459 hydrologic responses to rainfall. This includes (A) SB Potomac river, WV, (B) Tygart
460 Valley river, WV, (C) NF Holston river, VA, (D) Little river, AR, (E) Green river, IL, (F)
461 Kankakee river, IN, (G) EF San Gabriel river, CA, (H) White river, WA, (I) Pemigewasset
462 river, NH, (J) Little Pee Dee river, SC, (K) Licking river, KY, and (L) Genesee river, NY.
463 The empirical FDCs exhibit quite different functional shapes but the fit of both Kosugi
464 formulations can be considered excellent.

465 Some deviations of the 2-parameter Kosugi model remain apparent at the high flows
466 (low exceedance probabilities), particularly for semi-arid and arid watersheds that are
467 characterized by long dry periods and occasional but large precipitation events (convec-
468 tive thunderstorms). Fortunately, the 5-parameter Kosugi function fits adequately these
469 watersheds as well - in large part because of its ability to separately describe with two
470 different WRFs the behavior of the watershed at low and high flows. The easiest wa-
471 tersheds to fit are those that are relatively wet (lots of precipitation) and in which the
472 groundwater reservoir contributes a large part of the total streamflow. The FDCs of such
473 watersheds exhibit a relatively mild slope, and this is easy to describe with just two or

474 three parameters. Examples includes the Kankakee (F), White (H), and Little Pee Dee
475 (J) river basins.

476 We now focus our attention on model uncertainty, and on the 95% ranges of the 5-
477 parameter Kosugi model for the (A) SB Potomac, (B) Tygart Valley, (C) NF Holston,
478 (D) Little, (E) Green, (F) Kankakee, (G) EF San Gabriel, (H) White, (I) Pemigewasset,
479 (J) Little Pee Dee, (K) Licking, and (L) Genesee river basins. We plot separately the
480 effects of parameter (dark gray) and model (light gray) uncertainty in Figure 10. The
481 fit to the data is excellent and this makes it difficult to visualize clearly the results. The
482 parameter and total model uncertainty is rather small, and almost covered completely
483 by the data of the empirical FDCs of each watershed. The exception to this are the NF
484 Holston (C), Little (D), and Genesee (L) river basins. The simulation uncertainty ranges
485 are somewhat larger as the empirical FDCs are not fitted perfectly close at all times.
486 Indeed, the 95% parameter uncertainty is clearly visible in plots (C) and (L) and show
487 the small deviation of the fitted function from the empirical FDC.

488 The 2-parameter lognormal WRF model of Kosugi has the advantage that its parameters
489 can be directly related to the pore size distribution. In other words, the parameters
490 should have a physical interpretation. We verify this and calculate the linear correlation
491 coefficients between the five parameters of the Kosugi model and the MOPEX basin
492 characteristics. Correlations are relatively low and range between values of $r = -0.5$
493 and $r = 0.5$. The two parameters of the original Kosugi function, however, show a
494 much higher correlation with the physical and climatic characteristics of the watersheds.
495 For instance, our analysis demonstrates that the parameters a_k and b_k are most related
496 to climatic variables (mean annual precipitation and potential evapotranspiration) and

497 reach linear correlation coefficients of approximately 0.8. This opens up new possibilities
498 for regionalization and prediction in ungaged basins using for instance, remote sensing
499 precipitation data products.

500 We conclude the results section with some final remarks: (1) The fitting of the proposed
501 FDC models is not without problems. We therefore recommend to use different starting
502 points with a local search method to minimize chances of premature convergence to the
503 wrong values of the FDC fitting coefficients, and (2) The proposed WRF-based functions
504 demonstrate low sensitivity to high flows (Figure 4), and hence some care should be
505 exercised if these models are used to estimate the exceedance probability of extreme
506 events. One way to mitigate this problem is to define the objective function of Equation
507 13 in the streamflow space rather than exceedance probability space. This would require
508 the functions of Table 1 to be inverted so that they predict the streamflow value, y_t for
509 each observed exceedance probability, G_t .

4. Conclusions

510 The FDC has been used to characterize information regarding catchment stream-
511 flow variability and flow regime in various hydrologic design and management studies.
512 While several physically-based and probabilistic/mathematical functions have been used
513 to model the empirical FDCs, they fail to characterize adequately the mid-section and
514 tails of the FDC at low and high exceedance probabilities, respectively, and the large
515 differences between the FDCs of watersheds with contrasting hydrologic behaviors. This
516 paper extends the work of *Vrugt and Sadegh* [2013] and introduces a new class of closed-
517 form parametric expressions to closely characterize the FDCs of arid, semi-arid, and wet
518 catchments. These continuous functions, equivalent to the WRFs of VG and Kosugi, are

519 derived from the field of vadose zone hydrology and have been used widely to describe
520 the water characteristic of variably saturated soils. Three different formulations of the
521 VG and Kosugi models have been introduced to mimic empirical FDCs. These functions
522 differ in their structural complexity and include between two to five coefficients whose
523 values need to be derived by fitting against the observed FDCs.

524 Application of the WRFs to 408 watersheds of the MOPEX data set demonstrates that
525 the VG and Kosugi models closely match the empirical FDCs of arid, semi-arid, and wet
526 catchments. The proposed parametric expressions exhibit a superior performance over
527 commonly used FDC models in the hydrologic literature. If quality of fit is of paramount
528 importance then the 5-parameter Kosugi model is preferred as it provides the closest fit
529 to the empirical FDCs. What is more, this mixture formulation of two WRFs can help to
530 discern different stable states of the catchment. If the fitting coefficients of the FDC model
531 are to be used for regionalization or as summary statistics in diagnostic analysis then the
532 2-parameter formulation of Kosugi is preferred. The parameters of this model exhibit
533 a negligibly small correlation and are therefore suitable metrics that are well defined
534 by calibration against the observed FDCs. We conclude that a maximum of four-five
535 parameters is sufficient to describe the large range of FDCs of the MOPEX data set.

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Table 1. Summary of the probabilistic, mathematical and WRF-based functions of the FDC. All these functions compute the exceedance probability, G_t for a given streamflow value, y_t . The variables a , b , and c are fitting coefficients whose values need to be derived by calibration against the observed, empirical, FDC of individual watersheds.

Model name	Model formulation
2-parameter formulations	
Gumbel	$G_t = 1 - \exp \left[-\exp \left(-\frac{y_t - a_G}{b_G} \right) \right]$
Quimpo	$G_t = -\frac{1}{b_Q} \log \left(\frac{y_t}{a_Q} \right)$
VG	$G_t = \left[1 + (a_{VG} y_t)^{b_{VG}} \right]^{1/b_{VG} - 1}$
Kosugi	$G_t = \frac{1}{2} \operatorname{erfc} \left[\frac{1}{\sqrt{2}} \frac{1}{b_K} \log \left(\frac{y_t}{a_K} \right) \right]$
3-parameter formulations	
GEV	$G_t = 1 - \exp \left(- \left[1 + c_{GEV} \left(\frac{y_t - a_{GEV}}{b_{GEV}} \right) \right]^{-1/c_{GEV}} \right)$
Franchini and Suppo	$G_t = 1 - \left(\frac{y_t - b_{FS}}{a_{FS}} \right)^{1/c_{FS}}$
VG	$G_t = \left[1 + (a_{VG} y_t) b_{VG} \right]^{-c_{VG}}$
Kosugi	$G_t = \begin{cases} \frac{1}{2} \operatorname{erfc} \left[\frac{1}{\sqrt{2}} \frac{1}{b_K} \log \left(\frac{c_K - y_t}{c_K - a_K} \right) \right] & \text{if } y_t < c_K \\ 1 & \text{if } y_t \geq c_K \end{cases}$
5-parameter formulations	
VG	$G_t = \sum_{i=1}^2 \left(w_{i,VG} \left[1 + (a_{i,VG} y_t)^{b_{i,VG}} \right]^{1/b_{i,VG} - 1} \right)$
Kosugi	$G_t = \sum_{i=1}^2 \left(\frac{w_{i,K}}{2} \operatorname{erfc} \left[\frac{1}{\sqrt{2}} \frac{1}{b_{i,K}} \log \left(\frac{y_t}{a_{i,K}} \right) \right] \right)$

Table 2. Performance statistics of the quality of fit of each individual FDC model. We list the mean and standard deviation of the Root Mean Square Error (RMSE) (derived from Equation 13), and the number of times (in %) each respective model within a certain parametric class provides the best fit (lowest RMSE) to the observed FDCs.

Model name	Mean RMSE	STD of RMSE	% Best model
2-parameter formulations			
Gumbel	0.093	0.016	0
Quimpo	0.066	0.026	0.7
VG	0.026	0.012	39.2
Kosugi	0.021	0.014	60.1
3-parameter formulations			
GEV	0.015	0.008	9.8
Franchini and Suppo	0.081	0.021	0.3
VG	0.014	0.007	30.6
Kosugi	0.013	0.008	59.3
5-parameter formulations			
VG	0.006	0.003	30.9
Kosugi	0.005	0.003	69.1

Table 3. Average linear correlation coefficients of the DREAM-derived posterior parameter samples for the 408 different watersheds of the MOPEX data set. The variable "X" that is used in subscript stands for K or VG respectively.

2-parameter formulations															
	a_{VG}	b_{VG}						a_K	b_K						
a_x	1.00	0.81						1.00	0.16						
b_x	0.81	1.00						0.16	1.00						
3-parameter formulations															
	a_{VG}	b_{VG}	c_{VG}					a_K	b_K	c_K					
a_x	1.00	0.89	0.96					1.00	0.44	0.46					
b_x	0.89	1.00	0.93					0.44	1.00	0.67					
c_x	0.96	0.93	1.00					0.46	0.67	1.00					
5-parameter formulations															
	$a_{1,VG}$	$b_{1,VG}$	$a_{2,VG}$	$b_{2,VG}$	$w_{1,VG}$						$a_{1,K}$	$b_{1,K}$	$a_{2,K}$	$b_{2,K}$	$w_{1,K}$
$a_{1,x}$	1.00	0.73	0.73	0.64	0.79						1.00	0.73	0.72	0.69	0.76
$b_{1,x}$	0.73	1.00	0.65	0.62	0.77						0.73	1.00	0.69	0.62	0.70
$a_{2,x}$	0.73	0.65	1.00	0.71	0.79						0.72	0.69	1.00	0.71	0.74
$b_{2,x}$	0.64	0.62	0.71	1.00	0.75						0.69	0.62	0.71	1.00	0.69
$w_{1,x}$	0.79	0.77	0.79	0.75	1.00						0.76	0.70	0.74	0.69	1.00

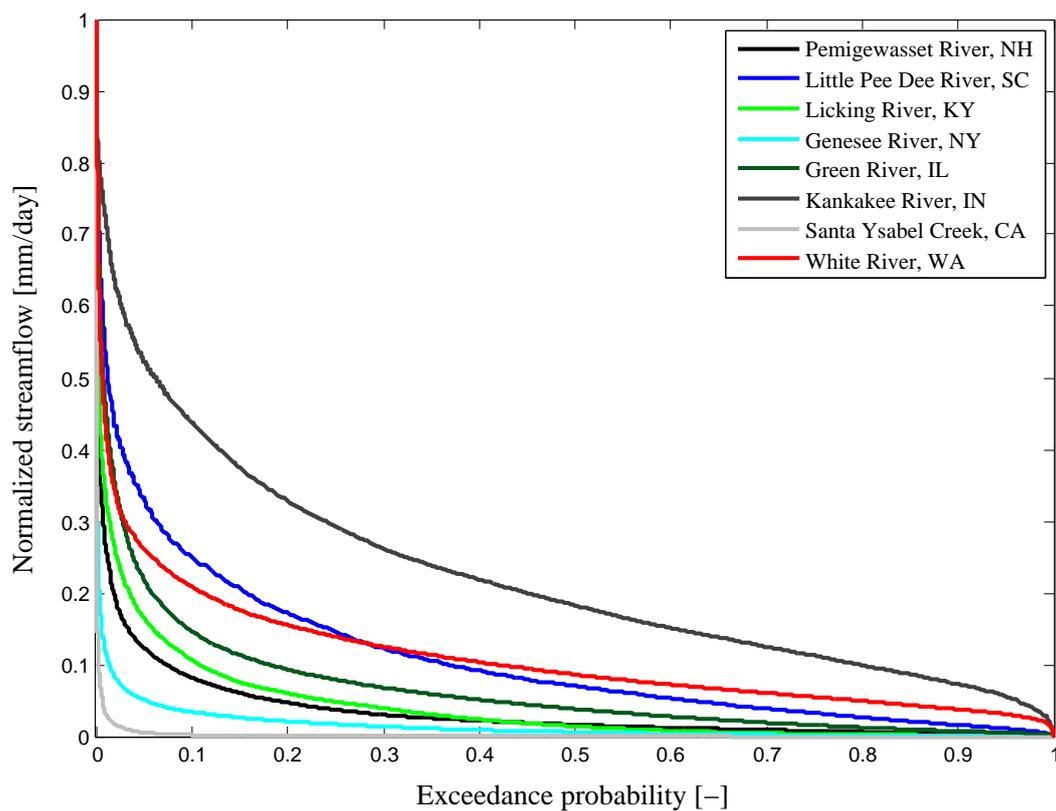


Figure 1. Flow duration curves for eight watersheds from the MOPEX data set, which show contrasting hydrologic behaviors. This figure plots normalized streamflows (mm/day) versus exceedance probability (-). We normalized the streamflow values to plot various shapes of the FDCs in one figure.

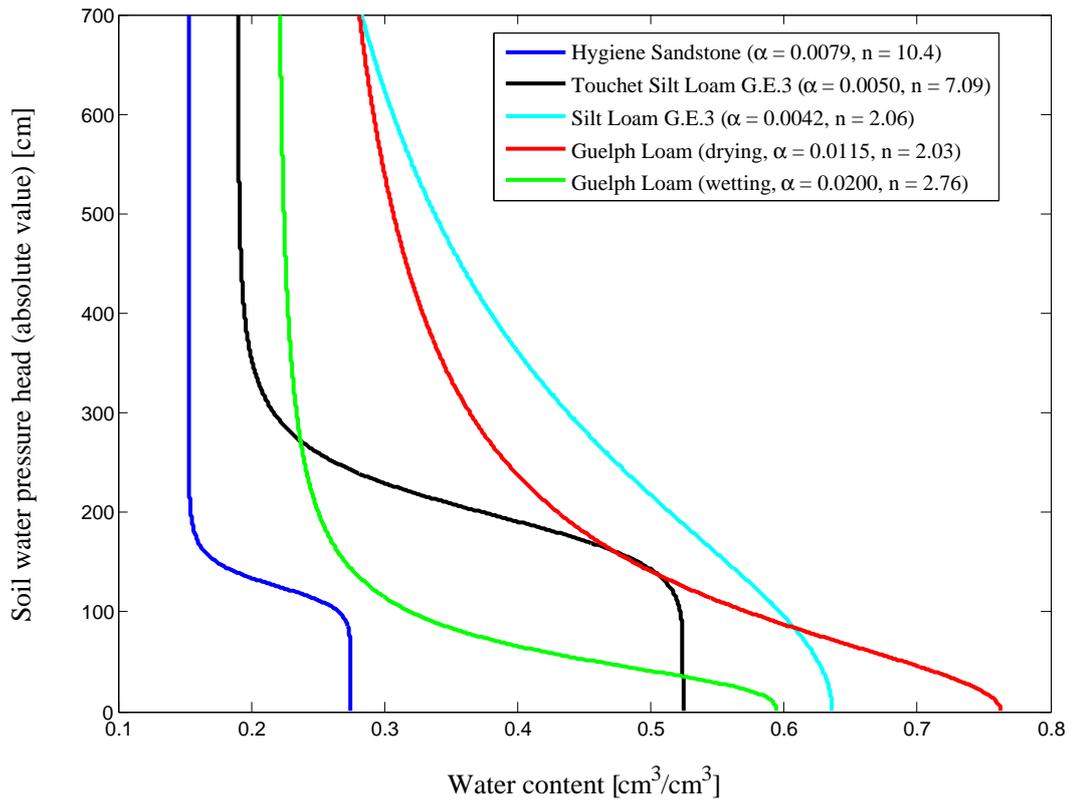


Figure 2. Water retention curves for five different soil types introduced in *van Genuchten* [1980]. This figure plots soil water pressure head (cm) versus soil water content (cm^3/cm^3). Note that the soil water pressure head values are originally negative, but we use positive pressure heads for plotting since the absolute values are used in the WRFs.

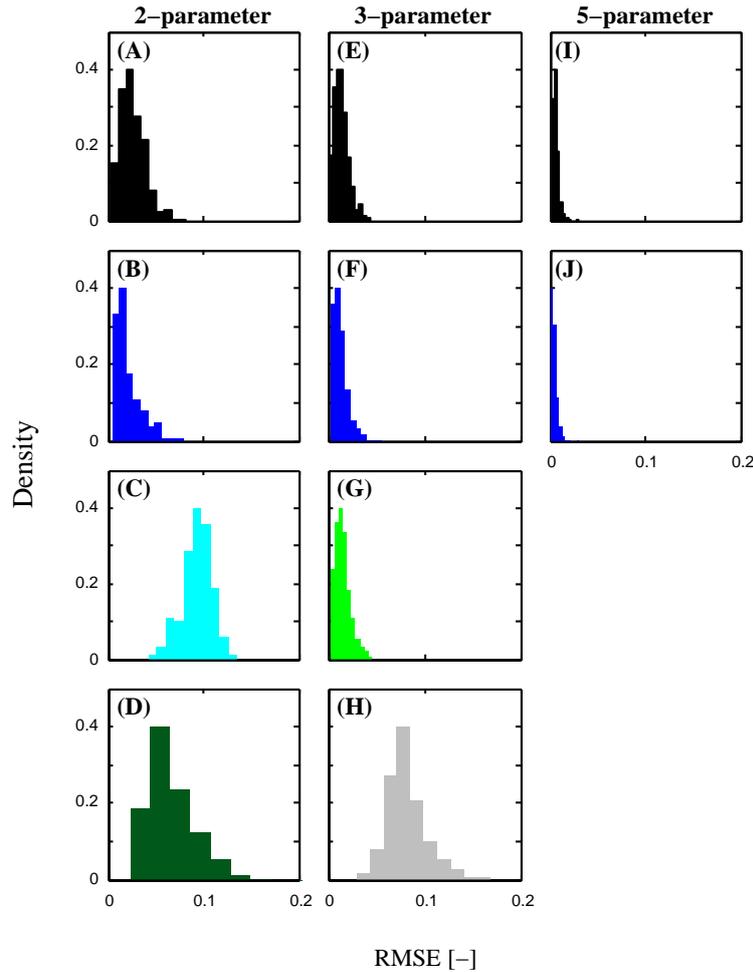


Figure 3. Histogram of the root mean square errors (RMSEs) between the simulated and observed FDCs for the 408 studied watersheds. The x -axis in each plot represents the RMSE values (dimensionless) and the y -axis shows the density of the number of occurrences for each RMSE value. Left, middle and right columns show the results of 2-, 3-, and 5-parameter model formulations, respectively. The results of the VG (plots: A, E, I), Kosugi (plots: B, F, J), Gumbel (plot: C), Quimpo (plot: D), GEV (plot: G), and Franchini and Suppo (plot: H) are presented with black, blue, cyan, dark green, light green, and gray bins, respectively. The RMSE shows the deviation between the simulated and empirical exceedance probabilities, and is hence dimensionless.

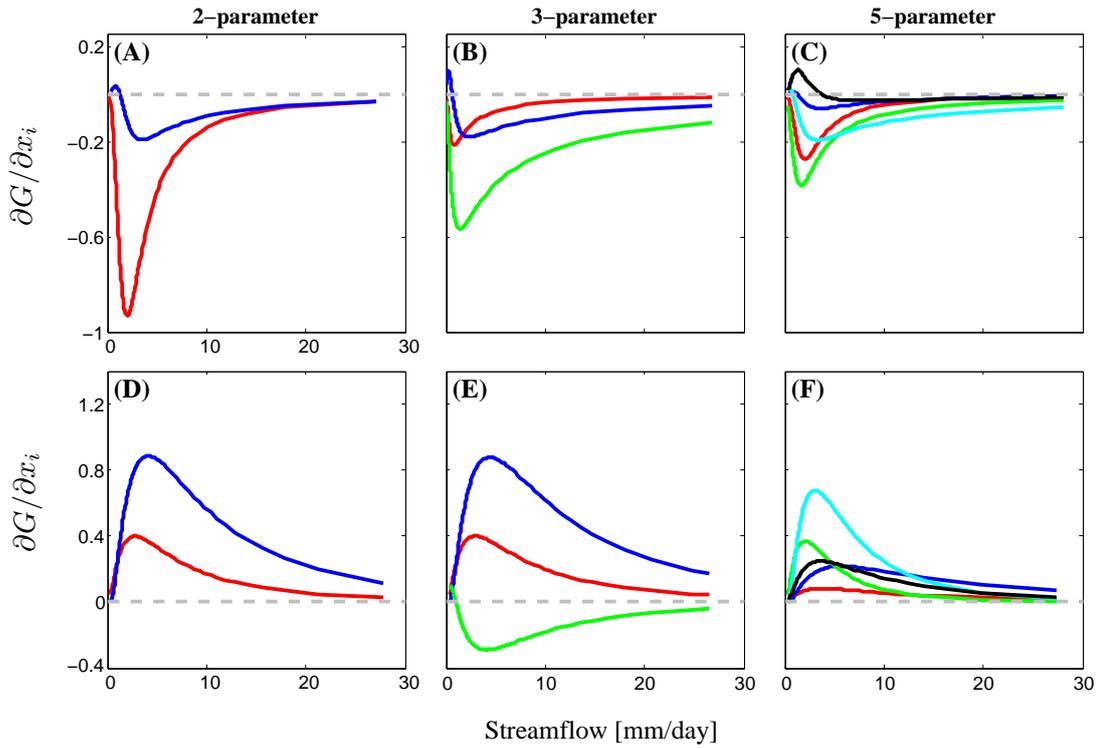


Figure 4. Partial parameter sensitivities of the VG (top panel) and Kosugi (bottom panel) models of the FDC (see Table 1) for a range of different streamflow values. Color coding is used to discern between the parameters a_x and $a_{1,x}$ (red), b_x and $b_{1,x}$ (blue), c_x and $a_{2,x}$ (green), $b_{2,x}$ (cyan), and $w_{1,x}$ (black) of the different model formulations. The subscript X stands for VG and K. To help with visual interpretation, the gray dashed line depicts, for all flow levels, a zero sensitivity.

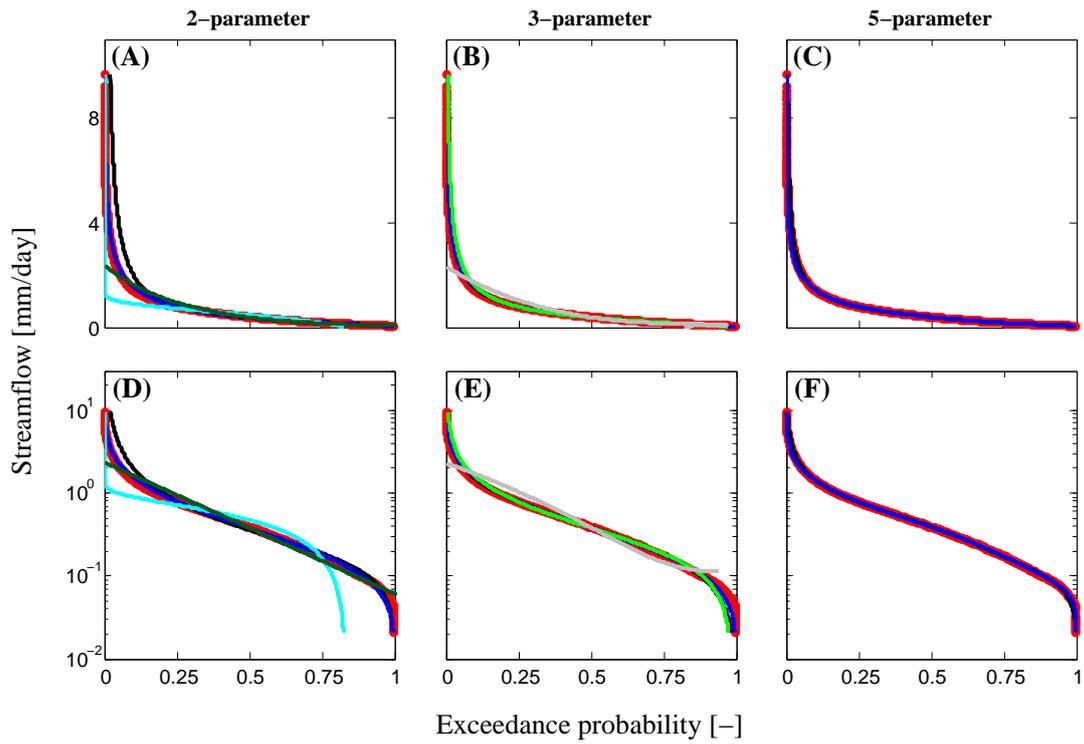


Figure 5. Comparison of the observed (red dots) and fitted (different lines) FDCs of the Green river watershed near Genesco, IL, USA. The top (A-C) panel uses a linear scale of the streamflow values, whereas the bottom (D-F) panel uses a logarithmic scale. The different columns represent different model complexities. Color coding is used to differentiate between the FDC models of Table 1: VG (black), Kosugi (blue), Gumbel (cyan), Quimpo (dark green), GEV (light green) and Franchini and Suppo (gray).

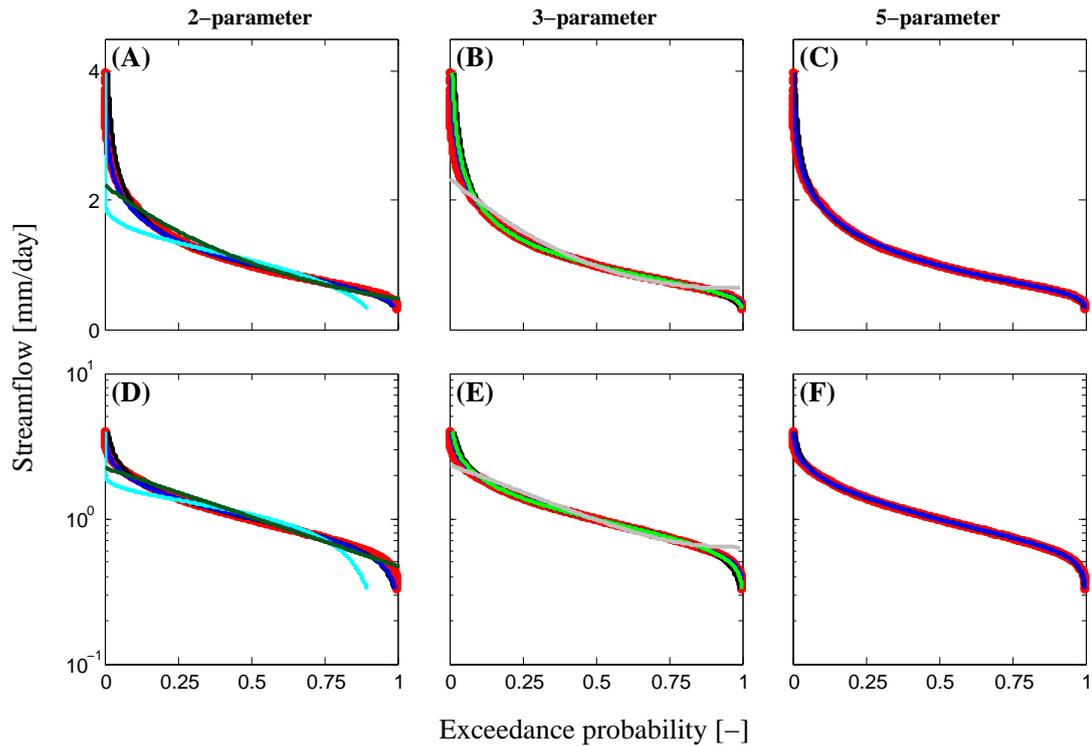


Figure 6. Comparison of the observed (red dots) and fitted (different lines) FDCs of the Kankakee river at Davis, IN, USA. The top (A-C) panel uses a linear scale of the streamflow values, whereas the bottom (D-F) panel uses a logarithmic scale. The different columns display the results of the 2 (left), 3 (middle) and 5 (right) parameter formulations. Color coding is used to differentiate between the FDC models of Table 1: VG (black), Kosugi (blue), Gumbel (cyan), Quimpo (dark green), GEV (light green) and Franchini and Suppo (gray).

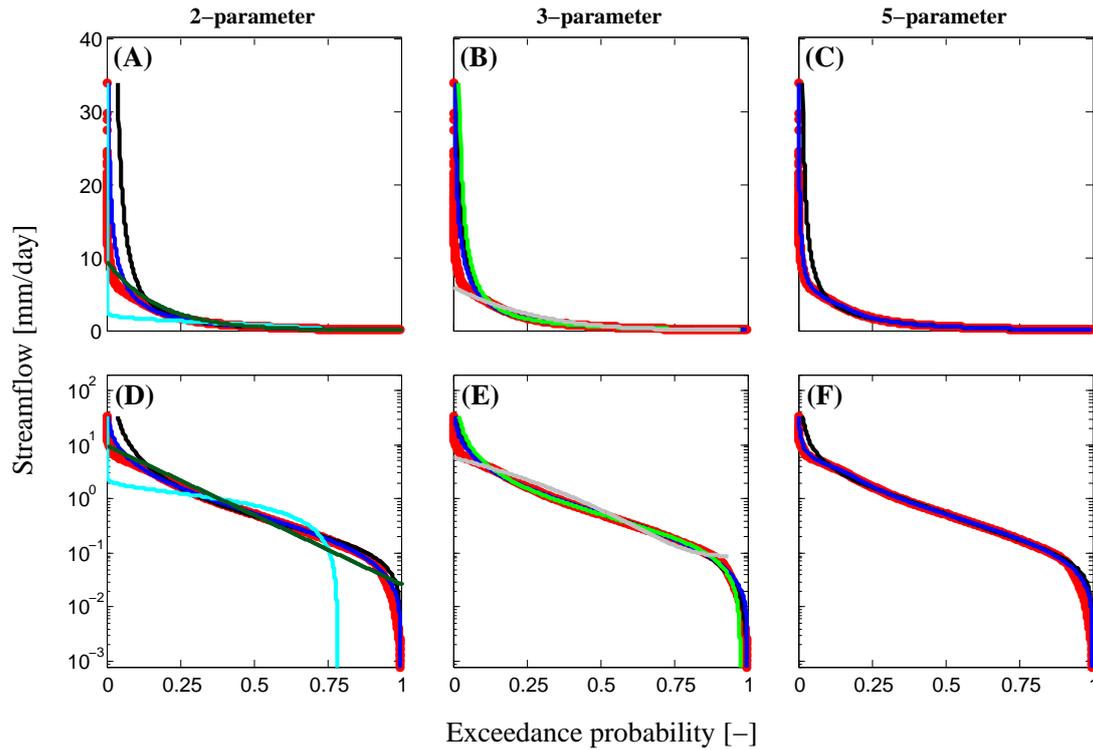


Figure 7. Comparison of the observed (red dots) and fitted (different lines) FDCs of the Little river near Horatio, AR, USA. A linear scale of the streamflow values is used in the top panel, whereas the bottom panel uses a logarithmic y-scale. The different columns display the results of the 2 (left), 3 (middle) and 5 (right) parameter formulations. The VG model is portrayed in black, and the Kosugi model in blue. The other FDC models proposed in the literature are displayed in cyan (Gumbel), dark green (Quimpo), light green (GEV) and gray (Franchini and Suppo).

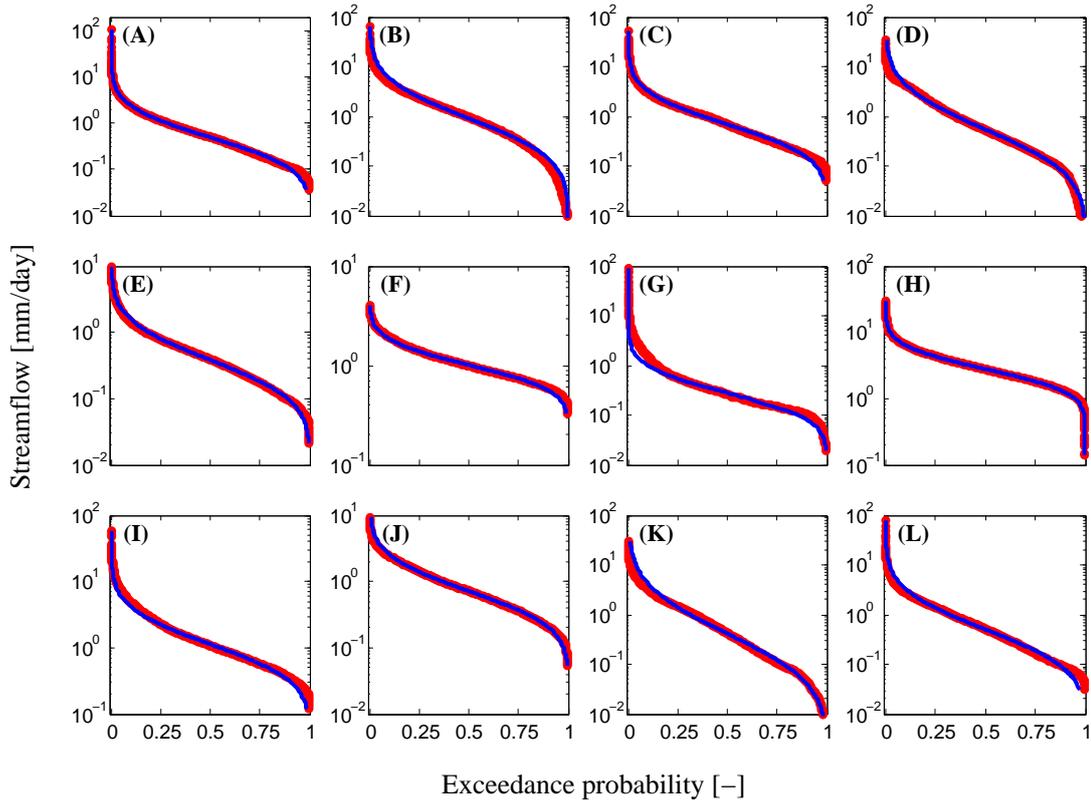


Figure 8. Comparison of the simulated FDCs of the 2-parameter Kosugi model (blue lines) against their observed counterparts (red dots) of the MOPEX data set. The different graphs correspond to the (A) SB Potomac, (B) Tygart Valley, (C) NF Holston, (D) Little, (E) Green, (F) Kankakee, (G) EF San Gabriel, (H) White, (I) Pemigewasset, (J) Little Pee Dee, (K) Licking, and (L) Genesee river basins, respectively, which are found in the states of West Virginia (A,B), Virginia, Arkansas, Illinois, Indiana, California, Washington, New Hampshire, South Carolina, Kentucky, and New York.

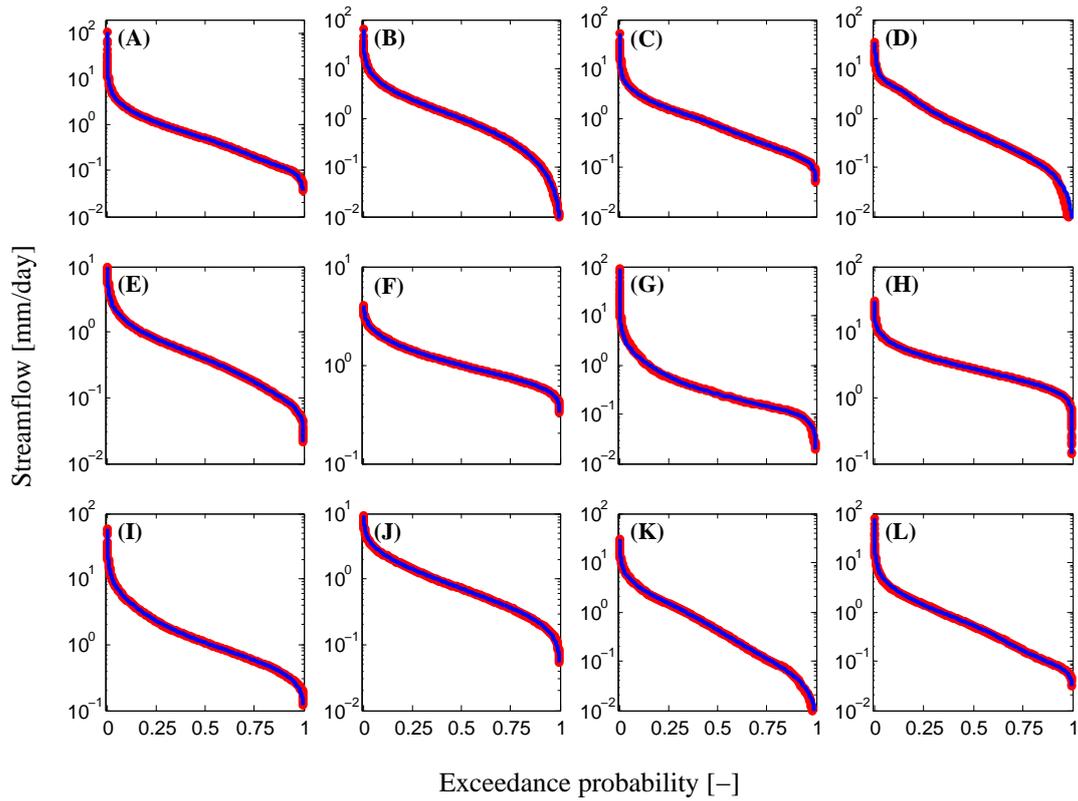


Figure 9. Comparison of the simulated FDCs of the 5-parameter Kosugi model (blue lines) against their empirical counterparts (red dots) of the MOPEX data set. The different graphs pertain to the (A) SB Potomac, (B) Tygart Valley, (C) NF Holston, (D) Little, (E) Green, (F) Kankakee, (G) EF San Gabriel, (H) White, (I) Pemigewasset, (J) Little Pee Dee, (K) Licking, and (L) Genesee river basins respectively which are located in the states of West Virginia (A,B), Virginia, Arkansas, Illinois, Indiana, California, Washington, New Hampshire, South Carolina, Kentucky, and New York.

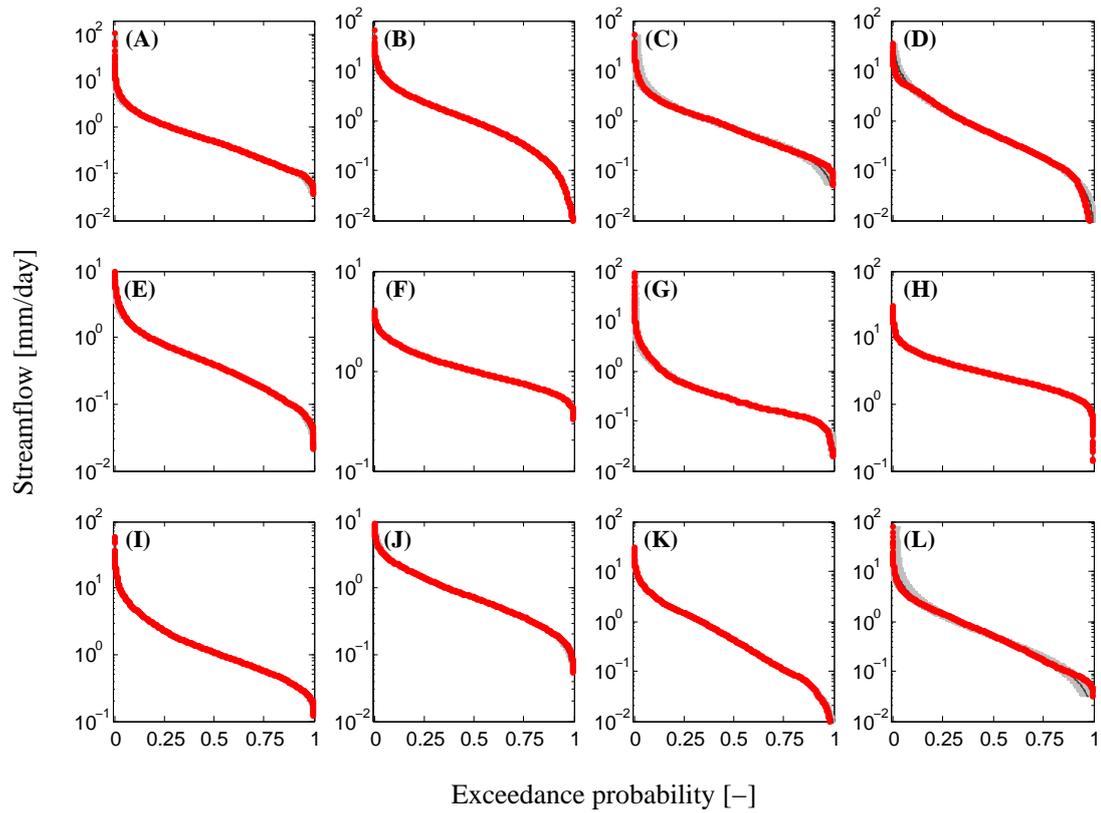


Figure 10. 95% simulation uncertainty ranges of the 5-parameter Kosugi model for the twelve different watersheds of Figure 8. The observed data are indicated with the red dots, whereas the 95% parameter and total uncertainty are displayed using the dark and light gray regions, respectively. The fit to the empirical FDCs is excellent and the parameter and model uncertainty therefore negligibly small.