

Iron deficiency in infancy and neurocognitive functioning at 19 years: evidence of long-term deficits in executive function and recognition memory

Angela F. Lukowski¹, Marlene Koss², Matthew J. Burden³, John Jonides⁴, Charles A. Nelson⁵, Niko Kaciroti¹, Elias Jimenez², Betsy Lozoff⁶

¹*Department of Psychology and Social Behavior, University of California, Irvine, California, USA*

²*Department of Psychology and Psychiatry, Escazu, Costa Rica*

³*Department of Psychiatry and Behavioral Neurosciences, Wayne State University, Detroit, Michigan, USA*

⁴*Department of Psychology, University of Michigan, Ann Arbor, Michigan, USA*

⁵*Department of Pediatrics, Harvard University, Boston, Massachusetts, USA*

⁶*Department of Pediatrics, Center for Human Growth and Development, University of Michigan, Ann Arbor, Michigan, USA*

Iron deficiency in infancy negatively impacts a variety of neurodevelopmental processes at the time of nutrient insufficiency, with persistent central nervous system alterations and deficits in behavioral functioning, despite iron therapy. In rodent models, early iron deficiency impairs the hippocampus and the dopamine system. We examined the possibility that young adults who had experienced chronic, severe, iron deficiency as infants would exhibit deficits on neurocognitive tests with documented frontostriatal (Trail Making Test, Intra-/Extra-dimensional Shift, Stockings of Cambridge, Spatial Working Memory, Rapid Visual Information Processing) and hippocampal specificity (Pattern Recognition Memory, Spatial Recognition Memory). Participants with chronic, severe iron deficiency in infancy performed less well on frontostriatal-mediated executive functions, including inhibitory control, set-shifting, and planning. Participants also exhibited impairment on a hippocampus-based recognition memory task. We suggest that these deficits may result from the long-term effects of early iron deficiency on the dopamine system, the hippocampus, and their interaction.

Keywords: iron deficiency, development, memory, executive functioning

Introduction

Iron-deficiency anemia impacts approximately 1–2 billion people world-wide. The prevalence among

pregnant women and young children ranges between 23–50% in developing countries.^{1,2} Although considerably less problematic in industrialized nations, infants are at increased risk everywhere, especially among poor, minority, and immigrant groups.³ Concerns about reducing the prevalence of iron deficiency exist not only because of its ubiquity, but also because of potential long-term negative effects on individual functioning⁴ with concomitant societal impact where iron deficiency is wide-spread.

Correspondence to: Angela F. Lukowski PhD, Department of Psychology and Social Behavior, 4304 Social and Behavioral Sciences Gateway, University of California, Irvine, CA 92697, USA
Tel: +1 949 824 7191; Fax: +1 949 824 3002;
E-mail: angela.lukowski@uci.edu
Received 20 June 2008, revised manuscript accepted 2 September 2009

Infants with iron-deficiency anemia or other indications of chronic, severe iron deficiency exhibit poorer functioning in the cognitive, affective, and motor domains. Before treatment, these infants receive lower scores on the Mental and Psychomotor Development Indexes (MDI and PDI, respectively) of the Bayley Scales of Infant Development, relative to infants with better iron status.^{5–11} Affected infants are also coded as being more wary, hesitant, and easily fatigued during behavioral testing than are infants with better iron status. In addition, they maintain closer contact with their mothers, display less positive affect, and are less playful and attentive.¹² Iron therapy lasting 2–6 months did not correct the differences in the majority of available studies.^{6,9,10,13}

Longitudinal research efforts have also indicated that children who experienced chronic, severe iron deficiency in the first years of life manifest long-term challenges in the cognitive, affective, and motor domains. For example, children who had iron-deficiency anemia in infancy scored lower on learning achievement and measures of persistence, self-control, and attention at 7 years, relative to children with good iron status.¹⁴ In another sample, children who had chronic, severe iron deficiency as infants scored less well on components of the Woodcock–Johnson and on tests of visual-motor integration at 5 years.¹⁵ At 11–14 years, these same children achieved lower scores on tests of arithmetic and written expression, spatial memory, and selective recall relative to their peers with good iron status. Children who were affected as infants were also more likely to have repeated a grade in school or received special educational services, such as tutoring.¹⁶ As a group, these children also manifested challenges in the affective and motor domains: they were more likely to have internalizing (*e.g.* anxiety and depression) and externalizing (*e.g.* delinquent behavior) problems in early adolescence,¹⁶ and they exhibited evidence of impaired motor abilities at both the 5- and 11–14-year assessments.^{15,16} In addition, a longitudinal assessment of global cognitive functioning in these children at five time points up to 19 years of age indicated that socio-economic status moderated the effects of chronic, severe iron deficiency in infancy: affected participants who came from families of low socio-economic status showed a widening gap in cognitive performance from infancy to young adulthood, whereas those who had iron deficiency and came from middle-class families did not.¹⁷

Depending on the time course of nutrient insufficiency, a number of brain functions may be negatively impacted at important points in development. Available iron may be prioritized to red

blood cells over other organs early in life, including the brain.¹⁸ The striatum and the hippocampus are two brain regions that undergo considerable maturation during the early postnatal period, and both have shown the effects of early iron deficiency in animal models.^{19–22} The striatum sends dopamine-rich projections to prefrontal cortex and is recruited in the control of executive functions such as inhibitory control, planning, sustained attention, and working memory, emotion regulation, memory storage and retrieval, motivation, and reward. The hippocampus, located in the medial temporal lobe, is involved in recognition, recall, and spatial memory (reviewed by Lozoff and Georgieff⁴).

Effects of iron deficiency on the dopamine system

Animal models of chronic, severe iron deficiency show that a lack of sufficient brain iron early in life negatively impacts several neurodevelopmental processes, including myelination, dendritogenesis, synaptogenesis, neurotransmission, and neurometabolism, with long-term functional consequences (see Beard and Connor²³ for review). In the rat, diet-induced postnatal iron deficiency results in an elevation of extracellular dopamine (and a consequent reduction in dopaminergic activity) in the striatum by depleting the number and/or functionality of dopamine re-uptake transporters and receptors,^{24–27} a consequence of early nutrient deficiency that persists into adulthood.^{23,28,29} The extensive connections between the striatum and other components of the three primary dopamine pathways (nigrostriatal, mesocortical, and mesolimbic³⁰) suggests that the consequences of impaired dopamine function may extend to influence other brain regions. For example, dopamine is a known modulator of neural activity in circuits involving prefrontal cortex.³⁰ Decreased dopamine binding³¹ or the application of dopamine antagonists to neurons in prefrontal cortex^{32,33} result in alterations of the characteristic neuronal response pattern³³ and in the selective modulation of performance on tasks of executive function in non-human primates.³²

Relations between reduced dopaminergic activity and performance on frontostriatal-dependent measures of executive functioning have also been documented in typically developing human participants using dopamine receptor agonists and antagonists. Results from these investigations have shown that the administration of D₂ receptor agonists facilitates performance on a spatial span task,³⁴ whereas D₂ receptor antagonists lead to impairments in planning, set-shifting, and spatial working memory.^{35,36} To our knowledge, the long-term effects of chronic, severe iron deficiency on the dopamine

system have not yet been investigated in humans using tasks with documented frontostriatal specificity. However, the behavioral effects of dopamine depletion on measures of executive function in non-human primates and humans, paired with rodent data indicating the persistent effects of chronic, severe iron deficiency on dopamine receptors, transporters, and extracellular concentrations provides a theoretical basis for examining the effects of chronic, severe postnatal iron deficiency on tests of striatal and prefrontal integrity in adulthood.

Effects of iron deficiency on medial temporal lobe structures

Iron deficiency experienced during the late prenatal-early postnatal period also exerts deleterious effects on hippocampal neurodevelopment. A rat model of perinatal iron deficiency shows significant alterations in hippocampal neurometabolism, structural morphology, and electrophysiology of affected pups that persist into young adulthood despite iron repletion. For example, elevations in select hippocampal neurometabolites after the induction and treatment of early iron deficiency indicate down-regulation of cellular metabolism, abnormal patterns of dendritic arborization and synaptogenesis, and hypomyelination.^{20,22} Indeed, further investigation of the effects of perinatal iron deficiency on dendritic outgrowth indicated long-term alterations in structural morphology (*i.e.* tangled branches that were shorter and less well-organized than those of control animals³⁷) and electrophysiology that remained into adulthood despite the initiation of iron therapy shortly after birth.³⁸ These long-term neurodevelopmental compromises likely contribute to the poorer performance of formerly iron-deficient animals on hippocampus-based tasks of learning and memory, such as the Morris water maze^{19,21,39} and trace fear conditioning,⁴⁰ relative to animals with good iron status.

Long-term deficits in hippocampal functioning are also related to prenatal iron deficiency in the human, as indicated by studies of infants of diabetic mothers. Infants of diabetic mothers are at increased risk for brain iron deficiency. Those with very low cord blood ferritin levels show deficits in tests of auditory recognition memory at birth,⁴¹ visual recognition memory at 6 months of age,⁴² cross-modal matching at 8 months,⁴³ and explicit or declarative memory at 12 months⁴⁴ and 36 months.⁴⁵ Postnatal dietary iron deficiency may exert similar effects on the hippocampus: using event-related potentials, infants who had iron-deficiency anemia appeared to show a developmental delay in recognition memory, such that

their electrophysiological profiles at 12 months resembled those of iron-sufficient infants tested in the same procedure at 9 months.⁴⁶

The present study

The only available longitudinal study that extends into young adulthood recently reported a widening gap on global cognitive tests 17–18 years after treatment for chronic, severe iron deficiency in infancy, especially for individuals of low socio-economic status.¹⁷ Significant or marginal differences in performance on global cognitive measures at 19 years were also found by iron status in infancy after controlling for socio-economic status.⁴⁷ The present study concerns the effect of early iron deficiency on specific tests of neurocognitive function in the same cohort at 19 years. Such an investigation is important, in that neurocognitive assessments may provide information as to which specific brain regions and processes may be impacted by early iron deficiency and insight as to the particular functional deficits that underlie performance on global tests. The test battery highlighted behaviors subserved by striatum, prefrontal cortex, and hippocampus due to their known vulnerability to iron deficiency.

Our primary hypothesis was that young adults who had experienced chronic, severe iron deficiency as infants would manifest difficulty with behaviors dependent on the striatum and its connections with prefrontal cortex and the hippocampus, especially as the problems recruiting these systems became more complex. We also predicted poorer performance on frontostriatal tasks of inhibitory control, set-shifting, planning, selective attention, and working memory due to the effects of iron deficiency on the dopamine system. In addition, we expected young adults who had experienced chronic, severe iron deficiency to have difficulty with hippocampus-based recognition memory tasks, especially those that elicited frontostrially-mediated executive functions as well.

Subjects and methods

Participants

Potential study participants were screened through door-to-door contact in Hatillo, Costa Rica between 1981 and 1983. Parents provided information about the health and development of their infants and consented to blood tests to determine the iron status of their infant. Healthy 12–23-month-olds participated in a study concerning the impact of iron status in infancy and iron therapy for affected individuals on functional outcomes. Infant participants were born at

term, had no physical abnormalities or developmental delays, and were growing normally by US standards (see Lozoff *et al.*⁹ for more complete inclusion criteria).

Iron status in infancy was determined by venous concentrations of hemoglobin, transferrin saturation, free erythrocyte protoporphyrin, and serum ferritin. Iron deficiency was defined as two or more abnormal iron measures (a serum ferritin concentration of < 12 ng/ml [< 270 pmol/l] and either a free erythrocyte protoporphyrin level of ≥ 100 mmmg/dl [≥ 1.77 mmmol/l] of red blood cells or a transferrin saturation of < 10%).^{48–50} Iron sufficiency was defined as a hemoglobin concentration of 12.0 g/dl or more and normal values on all iron status measures. Hematological response to iron therapy in infancy was excellent, with a mean hemoglobin increase of 3.7 g/dl among iron-deficient infants with a hemoglobin concentration of 10.5 g/dl or less. Anemia in all infants resolved following 3 months of iron therapy but, as might be expected, those with indications of severe or chronic iron deficiency still had biochemical alterations, such as elevated erythrocyte protoporphyrin values.⁹ At the subsequent follow-ups that included blood collection (5 years,¹⁵ 11–14 years,¹⁶ and 19 years), iron deficiency was present in less than 5% of the sample, and no one had iron deficiency anemia except for four women at 19 years, two of whom were pregnant. These data indicate that the Costa Rican diet at the time provided adequate iron to correct any iron parameters that were still altered after treatment in infancy and to maintain good iron status thereafter.

Following the approach taken in the 5-year and subsequent reports,^{9,12,13,15–17,51–53} we compared participants who had chronic, severe iron deficiency in infancy (with or without anemia) with the rest of the sample who were iron sufficient before and/or after iron therapy in infancy. For simplicity, the iron-sufficient participants will be referred to as ‘good iron status’. The chronic, severe iron-deficient group consisted of participants who had marked iron deficiency anemia in infancy (hemoglobin ≤ 10.0 g/dl) and those with higher hemoglobin concentrations and iron deficiency that did not fully correct after 3 months of iron therapy. Analyses compared the chronic, severe iron-deficient ($n = 33$) and good iron status ($n = 81$) groups.

Measures and procedures

Trail Making Test

The Trail Making Test⁵⁴ was one of the tests of frontally-mediated executive processes. In Part A,

subjects drew lines between numbered circles in consecutive order (1, 2, 3 ... 23, 24, 25) as quickly as possible on a standard piece of paper. Participants completed Part B of the task immediately thereafter, in which they rapidly drew lines connecting alternating numerical and alphabetical stimuli (1, A, 2, B ... 12, L, 13). In accordance with the standard administration of the task, errors were immediately identified after they occurred and participants were allowed to modify the direction of the incorrect line.⁵⁵ Both Parts A and B of the task require visual-motor integration and the ability to identify target locations selectively. However, the increased demands of Part B (including the lengthier distance between target locations and the greater amount of visual interference on the page⁵⁶) elicit frontostrially-mediated executive processes. Specifically, Part B of this task has been suggested to assess between-subject variability in the ability to inhibit the familiar response that was previously correct on Part A,⁵⁷ to process two types of stimuli concurrently,^{55,58} and/or the ability to switch tasks or alternate between different response sets.⁵⁹ Indeed, individuals with documented frontal lobe damage were more likely than unaffected controls to make errors on Part B but perform equally well on Part A.⁶⁰

The primary dependent variables related to errors and time to completion. Error measures included errors on Part A, errors on Part B (switching, non-switching, and total errors), and total errors on Parts A and B. On Part B, switching errors occurred when participants failed to alternate between numbers and letters successfully (*e.g.* they drew a line from number–number or letter–letter, such as 1–A–2–3). Non-switching errors were noted when participants correctly alternated between numbers and letters but failed to select the appropriate target (*e.g.* 1–A–2–C). Time measures included time to complete Part A, time to complete Part B, time to complete Part B accounting for time to complete Part A, the ratio of time to complete Part B divided by time to complete Part A, and time to complete Parts A and B.

Cambridge Automated Neuropsychological Test Assessment Battery (CANTAB)

To assess further the integrity of frontally-mediated functions and pursue the possibility of long-term effects of early iron deficiency on medial temporal lobe structures, we employed the CANTAB (version 3; <www.cambridgecognition.com>). The CANTAB, which was largely developed based on animal studies, includes tasks that are differentially sensitive to frontal and temporal lobe development and dysfunction. Although initially used to assess the neurological

declines characteristic of degenerative diseases in adult samples (e.g. Parkinson's disease^{61,62}), the CANTAB has also proven instrumental in charting the progression of frontally- and temporally-mediated abilities in typically developing populations^{63,64} and in samples of children with neurodevelopmental conditions, such as autism or attention-deficit hyperactivity disorder (ADHD⁶⁵). The CANTAB has also been used to elucidate effects of psychopharmacological agents on the cognitive performance of participants with neurological disorders (e.g. dopaminergic medication on patients with Parkinson's disease⁶⁶).

In the present study, 9 of the 13 subtests of the CANTAB were used: the Motor Screening Test, three tests of visual recognition memory (Delayed Match to Sample, Pattern Recognition Memory, and Spatial Recognition Memory), three tests of working memory, planning, or executive function (Intra-/Extra-dimensional Shift, Stockings of Cambridge, and Spatial Working Memory), and two tests of visual attention (Reaction Time and Rapid Visual Information Processing). These tests and their neural correlates are detailed below. Additional information is not provided on Delayed Match to Sample, however, as procedural error unfortunately precluded its analysis and interpretation. The tests were administered in the order mentioned above, and test order was held constant across all participants.

Measures of basal ganglia-dependent motor performance

1. *Motor screening test* (basal ganglia, corticostriatal circuitry).⁶⁷ This task is designed to familiarize participants with the testing apparatus and screen for visual-motor impairments that might confound the interpretation of latency measures on the other more cognitively demanding tests. Participants touched the center of an X-like stimulus as it appeared on the screen in different locations. Ten test trials were administered; mean response latency to touch the appropriate location was the dependent measure.
2. *Reaction time* (basal ganglia; dopamine and ascending catecholamine systems).⁶⁸ This task assesses reaction time to touch a yellow circle on the screen under different response demands. In the single-choice reaction and movement time task, participants placed their fingers on a touch-pad until a yellow circle appeared in the center of the screen, at which point they removed their fingers from the pad (reaction time) and touched the circle (movement time). In the five-choice reaction and

movement time task, the touch-pad manipulation was again imposed, but the circle appeared at any one of five locations on the screen. The primary measures for single- and five-choice problems were latency to release the touch-pad and movement time to touch the circle.

Measures of frontostriatal-dependent executive functions

1. *Stockings of Cambridge* (prefrontal cortex, anterior cingulate, right caudate nucleus, posterior parietal regions).⁶¹ This task is designed to assess planning abilities and behavioral inhibition.⁶⁹ Participants viewed a computer screen that was split into two halves. The top row of the display served as a demonstration and contained three hanging stockings of various lengths that could differentially accommodate up to three balls: from left to right, the stockings could fit three balls, two balls, and one ball, respectively. The bottom portion of the display was identical to the top row in terms of the number of stockings and their ability to accommodate balls; the only difference was the position of the balls in the stockings. Participants replicated the position of the balls shown in the top portion of the display by touching the desired ball followed by its new location. The critical constraints were that only balls at the top of a stocking could be moved to new locations and that each problem was to be completed in a certain minimum number of moves (2, 3, 4, or 5). The primary dependent measures from this condition were the number of moves required to successfully complete 2-, 3-, 4-, and 5-move problems and the total number of problems solved in the minimum number of moves. Participants also completed a yoked control condition that allowed for the dissociation of movement times from the amount of time spent thinking before attempting to solve each problem. After completing the problems involved in the assessment of planning and inhibition, the computer modeled the actions that the participant completed for each problem, one ball at a time. Then the participant copied the movements made by the computer. As a result, the only difference between the two conditions was the time required to plan moves in the first condition; both tasks necessitated visual-motor integration and the execution of movements. Latencies obtained from both conditions allowed for the derivation of two additional measures: mean initial thinking time was computed by subtracting the time to select the

first ball in the yoked control condition from the same measure obtained in the experimental condition; mean subsequent thinking was computed by subtracting the difference in time between selecting the first ball and completing the problem in the yoked control condition from the same measure obtained in the experimental condition, divided by the number of moves required to solve the problem.

2. *Intra-extradimensional shift* (dorsolateral prefrontal cortex for performance on the extra-dimensional shift, orbitofrontal cortex for reversals).⁷⁰ This task measures the ability to shift attention to relevant dimensions of complex visual stimuli based on computer-generated feedback (see Downes *et al.*⁶² for a complete description). Participants viewed two different stimuli on the screen. Initially, stimuli consisted of either purple shapes or white lines separately enclosed in square white boxes; both stimuli were of the same type. Participants were told that one of the two items was correct and the other was incorrect, but only the computer was aware of the rule. The experimenter indicated to the participants that their first choice would be random, and the computer would indicate to them whether their selection was correct or incorrect. Participants continued selecting stimuli and receiving feedback regarding the accuracy of their responses until they demonstrated that they had figured out the rule, at which point it would be changed. Participants met the learning criterion when they made six consecutive correct selections. Participants were allowed 50 trials to achieve the learning criterion before the test was terminated. The task was separated into nine distinct stages. In the first stage (simple discrimination), participants saw either two purple patterns or two white lines displayed in white boxes. Although the same type of stimulus was shown on a given trial (patterns or lines), the particular shapes and orientations of the lines varied. In the second stage (simple reversal), the rule changed such that the previously incorrect stimulus became correct. The third stage (compound discrimination with non-overlapping stimuli) allowed for the inclusion of the other type of stimulus in addition to those that had been tested previously (*e.g.* if the participant was tested with shapes in the first two stages, lines were also included in the boxes as well). Although present in the same box, the stimuli did not overlap. Moreover, the rule from the previous stage did not

change, such that the stimulus that was correct during the simple reversal stage was again correct in compound discrimination with non-overlapping stimuli. This stage was primarily included to facilitate performance on the next stage of the task (compound discrimination with overlapping stimuli), as participants often experience difficulty performing well with overlapping stimuli without this intervening stage (pilot data mentioned, but not presented, in Downes *et al.*⁶²). In the fourth stage (compound discrimination with overlapping stimuli), participants viewed overlapping versions of the stimuli used in the previous stage (white lines were always presented in front of purple shapes). The same response contingencies held from the previous stage, such that participants needed to respond to the same item that had been meaningful in compound discrimination with non-overlapping stimuli. The fifth stage (compound discrimination reversal with overlapping stimuli) modified the response contingency, such that the opposite item from the same stimulus category became correct (*e.g.* if one of the purple shapes was correct on the previous stage, the other purple shape became correct). The sixth stage (intra-dimensional shift) was the first to introduce new exemplars of overlapping stimuli. Despite the change in stimuli, the relevant stimulus dimension remained unchanged from the previous stage: that is, if participants previously responded to one of the purple shapes, the correct response was again based on shape, but the participant had to determine successfully which of the two new stimuli was correct. The seventh stage (intradimensional reversal) involved a modification of relevant stimulus dimensions. For example, if participants successfully responded to one of the two purple shapes on the previous stage, the correct response on the intradimensional reversal stage involved choosing the other purple shape. The final two stages of the task involved an extradimensional shift and a reversal of that contingency. The eighth stage (extradimensional shift) also included the introduction of new exemplars of each stimulus type. However, contrary to the rule that was imposed at the intradimensional shift stage (where the same type of item that was correctly responded to at the previous stage served as correct), the correct item in the extradimensional shift was one of those other than the one that had been previously rewarded. That is, if shapes were rewarded at the intradimensional shift and reversal stages, lines

became the relevant dimension. Participants had to determine which of the two new stimuli was correct by trial-and-error. The other stimulus of the same type became correct at the reversal stage. Dependent measures included the number of errors made on all stages before the intradimensional shift, the number of errors made on the final four stages of the task (intradimensional shift, intradimensional reversal, extradimensional shift, and extra-dimensional reversal), and the proportion of participants who attempted and passed each stage.

3. *Spatial working memory* (dorsal and ventral prefrontal cortices; ascending catecholamine, dopamine systems).^{71,72} This task is designed to assess working memory for locations.⁷³ Participants must find small blue tokens hidden in colored boxes in various locations on the screen. On any given trial, 4, 6, or 8 boxes were distributed across the screen; a black column located at the bottom right of the screen served as a depository for the tokens. Each box held only one token per trial. Participants had to find enough tokens to fill the depository completely. Task difficulty increased across trials, such that participants had to complete 4 trials successively at each level of difficulty (4, 6, and 8 boxes) before moving onto the next level. The colors and positions of the boxes also changed across trials to prohibit the use of repeated search patterns. Dependent measures concerned the number and type of errors made while searching (*e.g.* between errors, defined as returning to a box where a token has been previously recovered, and within errors, which included returning to search a box that was previously discovered to be empty). The CANTAB also derives a score pertaining to the use of search strategies on this task. The use of successful search strategies reduces memory load for which boxes have been searched previously, thereby allowing for more efficient performance. One possible means of successfully searching for hidden tokens is to initiate each search within a trial at the same location, eliminating the search of boxes where tokens have been found previously.^{74,75} The strategy use score indicates how often participants initiated searches from the same location for 6- and 8-box problems. A low score represents efficient use of this technique, indicating that participants consistently started their search at the same location; high scores suggest that participants chose boxes at random.

4. *Rapid visual information processing* (frontal gyri, parietal cortex, fusiform gyrus).⁷⁶ This task is designed to measure sustained attention with an additional working memory component. Participants viewed sequences of numbers presented in a white box in the center of the screen. The numbers ranged in value from 2 to 9 and appeared at a rate of one every 600 ms. Participants detected each occurrence of specific odd or even target sequences (including 3-5-7, 5-7-9, 2-4-6, and 4-6-8) by pressing the touch-pad within 1800 ms after the appearance of the last digit. Numbers were presented for 4 min; target sequences occurred approximately once every 7500 ms. The primary dependent measures were the number of hits (correctly identifying a specified sequence of numbers in the appropriate time limit), misses (failing to identify a specified sequence of numbers, either by not recognizing it as such or by responding after the time limit had expired), correct rejections (correctly failing to respond to sequences presented in the wrong order), and false alarms (incorrectly identifying a sequence as correct). Mean time to identify a correct sequence was also analyzed.

Measures of medial temporal lobe-dependent recognition memory

1. *Pattern recognition memory* (medial temporal lobe;⁷² fornix, thalamus, ventromedial prefrontal cortex⁷⁷). This task assesses the ability to recognize visual patterns after a delay. Participants viewed the consecutive presentation of 12 complex, colored geometric patterns in the middle of the touch screen; each pattern was presented for 3 s. After the presentation of the last pattern, the computer imposed a 5-s delay before initiating the test phase. In the test phase, pairs of familiar and novel patterns were presented on the screen, and participants touched the one they had seen previously. Feedback was provided by the program after each selection to indicate the accuracy of the response. After the recognition test was completed for the first 12 patterns, the presentation and test protocols were administered again, thereby allowing for recognition testing of 24 novel stimuli. The primary dependent measures were the percentage of correct responses and time to make correct responses, analyzed across all 24 trials.
2. *Spatial recognition memory* (medial temporal lobes; right dorsolateral prefrontal cortex⁷⁸). This

task is designed to assess memory for locations. Participants viewed the successive presentation of five white boxes at different locations on the screen; each box was shown for 3 s. After the presentation of the final box, the computer imposed a 5-s delay before initiating the test phase. In the test phase, two boxes were presented on the screen: one box was shown at a familiar location and another was shown at a novel location. Participants selected the box shown at the familiar location. The program provided feedback after each response.

Participants completed four blocks of five trials each, allowing for a total of 20 responses. The dependent variables included the percentage of correct responses and time to make correct responses, computed across all 20 trials.

Stimulus-response incompatibility

The stimulus-response incompatibility task assesses the ability to inhibit incorrect responses when presented with incompatible pairs after having previously responded to highly compatible stimulus-response pairs that require relatively automatic, intuitive responses.⁷⁹ Participants saw an arrow pointed to either their left or their right. In the compatible condition, participants pressed a specific key on the left side of the keyboard when the arrow pointed left and pressed a specific key on the right side of the keyboard when the arrow pointed right. Immediately thereafter, participants were tested in an incompatible condition. They were instructed to press a specific key on the left side of the keyboard when the arrow pointed right and to press a specific key on the right side of the keyboard when the arrow pointed left.

The compatible condition was always administered before the incompatible condition. Participants completed 20 practice trials of each type before completing 50 test trials. Each arrow was presented in the center of the screen for 10 s and was preceded by a fixation cross shown for 1 s.

Statistical analysis

The primary methods of analysis followed the generalized linear model. SAS PROC GENMOD was used to conduct between-subjects analyses involving iron status in infancy, growth curve analyses involving factors of iron status with repeated measures on neurocognitive variables of interest, and analyses to establish whether performance on one dependent measure significantly interacted with iron status to predict outcome on another. When the distribution of the count-based outcome variables was not normal, the data were fit using Poisson models. The use of Poisson distributions requires that the data are transformed into a logarithmic scale for analysis. These log values were then re-transformed back to their original means and standard errors were approximated using the delta method. Regression analyses were conducted using binomial distributions through SAS PROC REG; logistic regressions were conducted using SAS PROC LOGISTIC to evaluate significant differences by iron status for categorical variables.

Conceptually and statistically significant co-variables were included in analyses of continuous outcomes based on their correlation with them. Background variables obtained in infancy are shown in Table 1 for the participants tested in the neurocognitive battery at 19 years (see Lozoff *et al.*¹² for additional information about

Table 1 Sample characteristics at study enrolment by iron status in infancy

	Good iron status (n = 81)	Chronic, severe iron deficiency (n = 33)
Age (months) ^b	17.3 ± 0.4	15.9 ± 0.6
Birth weight (kg) ^c	3.27 ± 0.04	3.14 ± 0.06
Sex (% male) ^b	41	63
Breastfed (% yes)	96	88
Age of weaning from breast (months)	7.0 ± 0.6	5.5 ± 0.1
Amount of milk or formula per day (ounces)	27.8 ± 1.2	29.4 ± 1.9
Age of introduction of cow's milk (months)	7.7 ± 2.0	9.0 ± 3.0
Number of bottles per day	3.6 ± 0.2	4.0 ± 0.2
Mother's education (years)	9.7 ± 0.3	8.7 ± 0.5
Mother's IQ ^a	86.2 ± 1.3	77.3 ± 2.1
Father present (% yes)	78	67
Grandparents present (% yes)	36	53
HOME scores ^a	31.3 ± 0.7	26.9 ± 1.1
Hollingshead scores	29.9 ± 1.2	28.2 ± 1.9
Participant IQ ^c	87.4 ± 1.4	82.6 ± 2.3

Significant differences are indicated as follows: ^a*P* < 0.01, ^b*P* < 0.05, and ^c*P* ≤ 0.10.

the particular measures that were used to assess background factors in infancy).

All variables were initially considered as potential co-variates if they correlated with at least two dependent measures for a given test at $P \leq 0.10$. The one exception to this rule concerned the Motor Screening subtest of the CANTAB. Because this subtest included only one dependent measure, all background variables that correlated with it were considered for inclusion as co-variates. When two or more highly correlated background factors correlated significantly with outcome measures on the same test, only one was included as a co-variate. The background variable that correlated with a greater number of dependent variables was included. A secondary set of analyses included a factor of concurrent IQ, as measured by an abbreviated version of the WAIS, to determine whether overall intellectual level mediated the effects of iron status on neurocognitive performance. Results from these analyses are reported when the inclusion of concurrent IQ eliminated significant or marginal effects that were otherwise apparent.

All chosen co-variates were initially entered into a given model. Statistically non-significant co-variates were then removed by successively eliminating the most non-significant variables until the model contained only co-variates that were significant at $P \leq 0.10$. All analyses that yielded significant results were then inspected for the presence of outliers, defined as values that were ± 2.5 standard deviations from the mean, based on the overall distribution. Outlying values were truncated to the highest or lowest acceptable value in the distribution, and the analysis was conducted again (the choice was made to truncate

values, instead of eliminating them completely, so as to maintain the integrity of the longitudinal dataset). In addition, when repeated measures analyses were conducted, highly non-significant interactions ($P \geq 0.25$) between iron status and the repeated variable were eliminated from the model, which was then re-analyzed to examine the independent effects of iron status on the outcome measure of interest. Corrections were not made for multiple comparisons because of the hypothesis-driven nature of the investigation and following the argument that all obtained results be reported, with meaningful patterns interpreted further and left for corroboration through replication.⁸⁰

Results with truncated data values and relevant co-variate control are presented. Additional information regarding analyses without co-variates, the specific co-variates included in each analysis, or outcomes of analyses related to task performance independent of iron status may be obtained from the first author.

Results

Trail Making Test

There were no differences on Part A, indicating a lack of between-group differences in motor and visual speed and control processes. As shown in Figure 1, however, young adults who had chronic, severe iron deficiency as infants made a greater number of switching errors relative to young adults with good early iron status: $\chi^2(1, N = 110) = 4.22, P < 0.04, d = 0.54$. A supplementary logistic regression indicated that participants who had chronic, severe iron deficiency in infancy were more likely to make at least

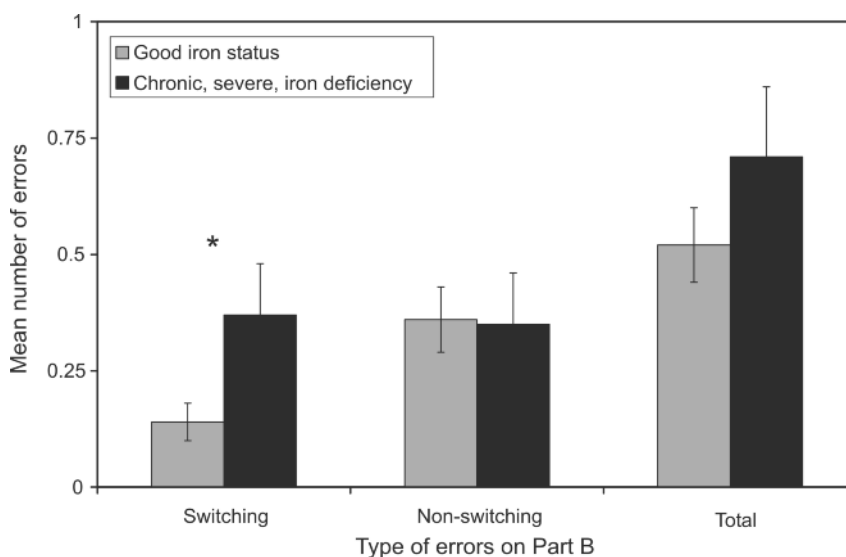


Figure 1 Number of switching, non-switching, and total errors made on Part B of the Trail Making Test as a function of iron status in infancy. Significant group differences are indicated (* $P < 0.05$)

one switching error on Part B relative to young adults who had good iron status: $\chi^2(1, N = 111) = 6.23, P < 0.01$, odds ratio = 3.45. Group differences were not found on non-switching errors or total errors made on Part B or time to completion variables.

CANTAB

Measures of basal ganglia-dependent motor performance

1. *Motor screening and reaction time.* There were no significant group differences on mean latency to touch the target in the appropriate location in the Motor Screening subtest or in reaction or movement times for single or five-choice problems in the Reaction Time subtest. Therefore, latency differences related to iron status on the other more cognitively demanding tasks that follow are not attributed to a general slowing of motor responses resulting from early iron deficiency.

Measures of frontostriatal-dependent executive functions

1. *Stockings of Cambridge.* Growth curve analyses were used to compare the iron status groups in initial and subsequent thinking time and in the number of moves required to successfully solve 2-, 3-, 4-, and 5-move problems. All of the data were fit to normal distributions. There was a marginal interaction between iron status and problem difficulty on mean initial thinking time: $\chi^2(3, N = 456) = 7.33, P = 0.06$. As shown in Figure 2, young adults with good iron status in infancy showed increases in initial thinking time from 2- to 3- and from 3- to 4-move problems, whereas young adults who had experienced chronic, severe iron deficiency in infancy showed increases in thinking time from 2-



Figure 2 Mean initial thinking time as a function of iron status in infancy and problem difficulty on the Stockings of Cambridge subtest of the CANTAB. Significant group differences for a given level of problem difficulty are indicated (* $P < 0.05$)

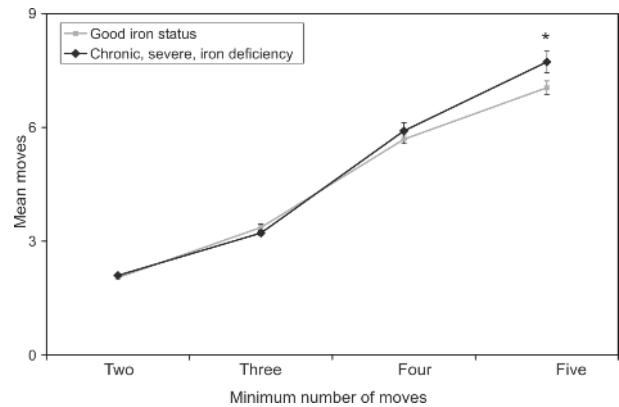


Figure 3 Mean number of moves required to successfully solve problems as a function of iron status in infancy and problem difficulty on the Stockings of Cambridge subtest of the CANTAB. Significant group differences for a given level of problem difficulty are indicated (* $P < 0.05$)

to 3-move problems but devoted similar amounts of planning time to 3-, 4-, and 5-move problems. Further examination of differences by iron status at each level of problem difficulty revealed a significant group difference for 5-move problems, such that participants with good early iron status spent a greater amount of time planning their first move relative to participants who had chronic, severe iron deficiency in infancy: $\chi^2(1, N = 456) = 5.42, P < 0.02, d = 0.46$. We investigated the possibility that young adults who had experienced chronic, severe iron deficiency as infants compensated for their lack of initial planning time by increasing the amount of time devoted to planning subsequent moves. Although a marginal interaction was found between iron status and problem difficulty: $\chi^2(3, N = 456) = 6.53, P = 0.09$, differences in subsequent thinking time were not found by group as a function of problem difficulty. The same general pattern of subsequent thinking was not maintained across both groups of participants, however. Young adults who had good iron status increased their subsequent thinking time as the problems became more challenging, but participants who had chronic, severe iron deficiency as infants did not do so for the shift from 2- to 3-move problems and only showed marginal increases in subsequent planning time from 4- to 5-move problems (a significant increase in thinking time was apparent for these participants from 3- to 4-move problems).

The number of moves required to solve 2-, 3-, 4-, and 5-move problems was analyzed to determine whether variability in initial thinking time related to

overt behavioral performance. A marginal interaction was found between iron status and problem difficulty: $\chi^2(3, N = 456) = 6.89, P = 0.08$. As shown in Figure 3, both groups required more moves to solve each problem successfully as difficulty level increased. There was a significant effect of group for 5-move problems, such that young adults who had experienced chronic, severe iron deficiency in infancy required a greater number of moves to solve the most difficult problem relative to participants with good early iron status: $\chi^2(1, N = 456) = 4.10, P < 0.04, d = 0.41$. Controlling for concurrent IQ reduced the significance level of the interaction to 0.20 and that of the group difference for 5-move problems to 0.11. There were no differences by iron status group in the number of problems solved in the minimum number of moves.

Additional analyses indicated that the group differences in mean initial thinking time and mean moves on 5-move problems did not result from a speed-accuracy trade-off. First, there were no significant or marginal correlations between these two variables for 5-move problems. Second, additional analyses were conducted for mean initial thinking time and mean moves on 5-move problems after statistically controlling for the other variable. In both cases, these co-variables were highly non-significant and were removed from the models, indicating that they did not contribute to performance on the other measure. These effects were unaltered by the inclusion of concurrent IQ as an additional co-variate.

2. *Intra-/extradimensional shift.* Between-subjects analyses were used to determine whether the number of errors made on all stages before the intradimensional shift differed as a function of iron status in infancy for participants who successfully completed the final stage of testing. Additional analyses used growth curves with Poisson distributions to compare performance across the final four stages of the task (intradimensional shift, intradimensional reversal, extradimensional shift, and extradimensional reversal). Young adults who had good iron status in infancy made a greater number of errors on all stages preceding the intradimensional shift ($M = 5.98, SE = 0.05$) relative to individuals who had chronic, severe iron deficiency as infants ($M = 4.06, SE = 0.09$): $\chi^2(1, N = 62) = 9.68, P < 0.002, d = 0.83$. There were no differences in the number of errors made on the final four stages of the task by

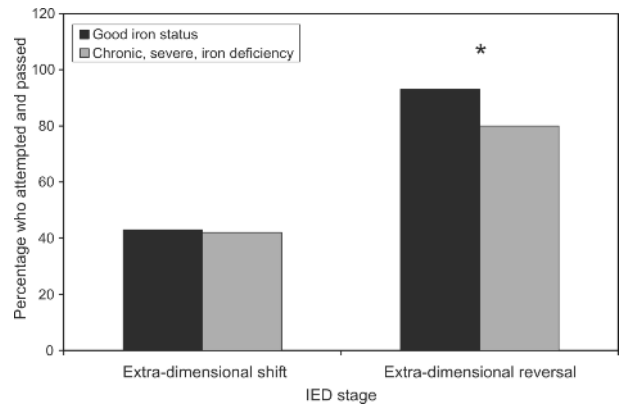


Figure 4 Predicted probability of participants who attempted and passed the final two stages of the Intra-/extradimensional shift task of the CANTAB as a function of iron status in infancy. Marginal group differences are indicated (* $P = 0.10$)

iron status group after removing the highly non-significant interaction from the models.

Logistic regressions were also computed to determine the proportion of participants who attempted and passed each stage by iron status. All participants successfully completed the first seven stages of the task. Variability in performance was apparent on the eighth stage (extra-dimensional shift), but there were no significant group differences. However, as shown in Figure 4, group differences were suggestive on the ninth (extradimensional reversal) stage of the task: there was a trend for a greater probability of participants with good iron status to attempt and pass this stage relative to those who had chronic, severe iron deficiency: $\chi^2(1, N = 69) = 2.71, P = 0.10, \text{odds ratio} = 3.83$.

3. *Spatial working memory.* Growth curve analyses with Poisson distributions were used to compare performance on between and within errors made on 4-, 6-, and 8-box problems by iron status. There were no significant or marginal main effects of iron status after removing the highly non-significant interaction from the models. An independent between-subjects analysis concerning strategy use on 6- and 8-box problems also did not reveal any significant or marginal group differences.
4. *Rapid visual information processing.* There were no differences by iron status in infancy on signal detection measures of the number of hits, misses, false alarms, and correct rejections using Poisson distributions or on the mean latency to identify a correct sequence using a normal distribution.

Measures of medial temporal lobe-dependent recognition memory

1. *Pattern recognition memory.* Regression analyses indicated that iron status groups significantly predicted the percent of correct responses: $\chi^2(1, N = 110) = 10.41, P < 0.001$, an effect that was qualified by an interaction with latency: $\chi^2(1, N = 110) = 12.76, P < 0.0004$. As shown in Figure 5, follow-up regression analyses indicated that response times greater than 2300 ms were predictive of fewer correct responses for participants who had chronic, severe iron deficiency (78% correct) in infancy relative to participants with good iron status (88% correct): $\chi^2(1, N = 28) = 12.45, P < 0.03$; differences by iron status were not apparent at latencies less than 2300 ms (94% and 91% correct for participants in the chronic, severe iron deficient and good iron status groups, respectively). There were no group differences on the percent of patterns identified correctly or on the latency to make correct responses.
2. *Spatial recognition memory.* There were no differences by iron status in percentage of locations remembered, latency to make correct responses, or in the ability to differentially predict correct responses from iron status or its interaction with latency.

Stimulus-response incompatibility

There was no evidence of differential performance as a function of iron status in infancy on measures of errors or reaction time to complete compatible or incompatible trials.

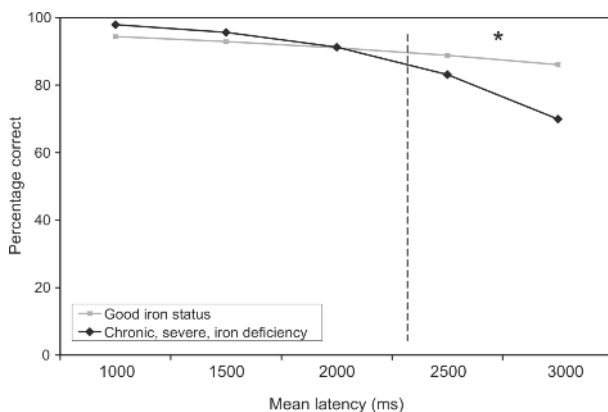


Figure 5 Predicted relations between mean latency to make correct responses and the percentage of correct responses as a function of iron status in infancy on the Pattern Recognition Memory subtest of the CANTAB. Significant group differences are indicated (* $P < 0.05$)

Discussion

This study assessed the long-term effects of chronic, severe iron deficiency in infancy on a battery of tasks mediated by frontostriatal and medial temporal lobe structures. When compared to young adults who had good iron status in infancy, participants who had chronic, severe iron deficiency experienced difficulty on tests involving inhibitory control, set-shifting, and planning, all of which are classified as executive functions and rely on the integrity of frontostriatally-mediated circuits. Participants who had chronic, severe iron deficiency in infancy also performed less well on a hippocampus-based test of pattern recognition memory at longer self-imposed response latencies.

Measures of frontostriatal-dependent executive functions

Young adults who had chronic, severe iron deficiency as infants made more switching errors on Part B of the Trail Making Test relative to young adults with better iron status after treatment in infancy. We suggest that the increased number of switching errors on Part B (failing to alternate successfully between numbers and letters, ultimately drawing a line from number–number or letter–letter) resulted from the inability to shift between the task requirements of Parts A and B or from difficulty inhibiting the response pattern that was initially correct in Part A (where connecting lines from number–number was the desired action, and the only one that could be performed). As expected, group differences were not observed on non-switching errors (*i.e.* successfully alternating between numbers and letters, but failing to select the appropriate target of the line), as the commission of these types of errors likely does not reflect deficits in set-shifting or inhibitory control to the same extent as switching errors.

Additional evidence of impairments in set-shifting associated with early iron deficiency was suggested by the intra-/extradimensional shift task of the CANTAB. Specifically, a smaller proportion of participants who had experienced chronic, severe iron deficiency as infants attempted and passed the final extradimensional reversal stage relative to participants with good early iron status. Children and adults typically experience little difficulty completing the first five stages of the set-shifting task, with critical comparisons across groups often concerning performance on the final four stages (intradimensional shift, intradimensional reversal, extradimensional shift, and extradimensional reversal^{64,81}). In our sample, no variability in successful performance was

found by iron status in infancy on the intradimensional shift stage. Although reduced levels of success were apparent on the extradimensional shift stage, differences were not found in relation to early iron status. Instead, marginal group differences were found at the final extradimensional reversal stage, such that young adults who had experienced chronic, severe iron deficiency in infancy exhibited difficulty inhibiting the response that was previously rewarded at the extradimensional shift stage. This effect was found even though young adults who had good iron status in infancy made a greater number of errors on the stages preceding the intradimensional shift. Taken together, these findings indicate that individuals with good iron status in infancy made more errors during the early stages of the set-shifting task but were marginally more successful during the final stage of testing. Although unclear as to why the effects of chronic, severe iron deficiency only manifested themselves at the last stage of testing, the results none-the-less corroborate findings from the Trail Making Test, which indicated that chronic, severe iron deficiency in infancy was associated with less success in shifting between task requirements and inhibiting previously-relevant response sets.

In addition to the apparent deficits in inhibitory control and set-shifting, young adults who had chronic, severe iron deficiency in infancy also exhibited difficulty forming and executing action plans. On the Stockings of Cambridge subtest of the CANTAB, young adults who had experienced chronic, severe iron deficiency had impaired planning abilities relative to participants with good early iron status, such that they spent less time considering their first move on the most challenging 5-move problems. The absence of group differences in the time to plan subsequent moves suggests that young adults with chronic, severe iron deficiency did not overcome their initial planning deficits, thereby resulting in a clear planning disadvantage relative to participants with good iron status. The lack of planning by young adults who had chronic, severe iron deficiency in infancy was evident in their behavioral performance, as they required more moves to solve the most difficult 5-move problems, relative to participants with good early iron status. Taken together, these data suggest that chronic, severe iron deficiency in infancy resulted in planning deficits even 17–18 years after treatment, with concomitant negative outcomes in behavioral performance. However, the between-subjects effect for 5-move problems became non-significant after controlling for concurrent IQ. This mediating effect raises questions regarding the directionality and

reciprocity of interactions between neurocognitive capabilities, particularly those concerning executive functions, and measures of general intelligence obtained at the same time.

Differences in performance were not apparent as a function of iron status in infancy on the Spatial Working Memory subtest of the CANTAB or on an independent Stimulus-Response Incompatibility task. We propose that the lack of significant group differences resulted from differential sensitivity of the chosen measures to subtle deficits in dopamine-mediated executive functions. For example, individuals with severe Parkinson's disease exhibited impairment on the Spatial Working Memory subtest of the CANTAB relative to controls, whereas those who are less affected experienced little difficulty.⁸² In another study, individuals given 400 mg of the dopamine D₂ antagonist sulpiride exhibited impaired performance on a complicated Spatial Working Memory-sequence generation task whereas those given a dose of 200 mg did not.³⁵ However, administration of a dopamine D₂ receptor antagonist to normal volunteers did not impact performance on the Spatial Working Memory subtest of the CANTAB,⁸³ thereby suggesting that this task may not be sensitive enough to detect the effects of subtle deficits in dopamine-mediated executive functions. The same may be true of the measure of Stimulus-Response Incompatibility. Because participants completed all of the compatible trials before the incompatible trials (and because completion of the incompatible trials was preceded by a short block of practice trials), the inhibitory control requirements of this task may have been minimized over the course of testing. Future research should address these concerns by including more sensitive measures of Spatial Working Memory and Stimulus-Response Incompatibility.

Measures of medial temporal lobe-dependent recognition memory

Participants with chronic, severe iron deficiency in infancy also performed less well on the hippocampus-based Pattern Recognition Memory subtest of the CANTAB, especially at longer self-imposed response latencies. However, significant differences in response latency or accuracy by iron status group were not apparent on this subtest or for any variables on the Spatial Recognition Memory subtest, which also relies on the integrity of the medial temporal lobes.

One possible reason for the apparent lack of more obvious group effects on measures of medial temporal lobe functioning may be that only two CANTAB

subtests of recognition memory were included. The inclusion of a larger number of hippocampus-based tests in future studies would provide greater opportunity to identify such effects, if present. Another possible reason for the relative lack of significant effects on measures of medial temporal lobe functioning may relate to the presumed timing of iron deficiency. Previous studies indicating iron-related deficits on hippocampus-mediated tests include samples of infants who had prenatal, rather than postnatal, iron deficiency^{22,38,42-44} likely resulting from gestational diabetes or intrauterine growth restriction (see review by Lozoff and Georgieff⁴). Indeed, a recent non-human primate model of purely prenatal versus purely postnatal iron deprivation showed different effects on cognitive, affective, and motor domains depending on timing.^{84,85} The participants in the present study had documented postnatal iron deficiency, but their prenatal iron status was unknown. If their iron deficiency was primarily postnatal, our results indicate that long-term outcomes may differ from those found in individuals who experienced solely prenatal iron deficiency. The extent to which the timing and a combination of prenatal and postnatal iron deficiency relates to cognitive, affective, and motor function in humans remains to be determined but is likely an important factor in understanding the varied results that may be obtained when iron deficiency occurs at different points in ontogeny.

Potential mechanisms underlying the obtained pattern of results

The obtained pattern of results suggests that deficits in inhibitory control, set-shifting, planning, and recognition memory are among the long-term outcomes associated with chronic, severe iron deficiency in infancy and may relate to the long-term global deficits seen in this sample.¹⁷ In this section, we propose that the observed neurocognitive impairments may relate to the effects of iron deficiency on the dopamine system, the hippocampus, and their interaction.

Research conducted with animal models of chronic, severe iron deficiency have indicated that elevations in extracellular dopamine resulting from the reduced number and/or functionality of dopamine receptors and transporters (leading to decreased dopamine activity) persist after treatment for nutrient insufficiency and have long-lasting functional implications.^{26,29,86} To date, there are no documented direct relationships between early iron deficiency and dopamine concentrations and/or binding in humans. However, the patterns of results obtained in the

affective and motor domains with rats²¹ and humans^{13,51,87} suggest that alterations in dopamine-dependent pathways may be responsible. This investigation provides evidence that these alterations may also result in long-term negative outcomes on measures of frontostriatal integrity in humans.

Indeed, the role of dopamine has been established as critical in the successful completion of frontostrially-mediated executive functions^{81,88} and in hippocampus-based memory tasks in humans.⁸⁹ There is also evidence of functional interactions between these two systems. For example, the binding of hippocampal D₂ receptors affects performance on measures of hippocampal functioning, such as non-verbal and verbal delayed recall tests. In addition, measures of dopamine binding in the hippocampus also relate significantly to performance on the Wisconsin Card Sort,⁸⁹ a classic measure of prefrontal function. The mesocortical dopamine system also critically modulates hippocampal-dependent long-term potentiation,⁹⁰ thereby indicating that hippocampal and prefrontal neurons are connected at the level of the cell⁹¹ and the system,⁹² with evidence of functional integration as well.^{90,93}

Although speculative, the apparent group differences on the Pattern Recognition Memory subtest of the CANTAB may be due, at least in part, to the functional connections between the hippocampus and prefrontal cortex. Successful performance on this task has documented relations with medial temporal lobe structures (*e.g.* lesions of the medial temporal lobe produce gross deficits in visual recognition memory^{72,94}). However, lesions to non-human primate ventromedial prefrontal cortex also abolish successful recognition, which likely depends on the transmission of information from medial temporal lobe structures through the fornix and the thalamus.⁷⁷ Although deficits in visual recognition memory have not been found in humans with frontal lobe damage,⁷² this null effect may be due to the location of the lesion and not to a lack of prefrontal involvement, as different regions of prefrontal cortex may be involved in dissociable aspects of visually-mediated cognition.^{73,94,95} Consequently, successful performance on the Pattern Recognition Memory subtest of the CANTAB may not be indicative of 'pure' deficits in medial temporal lobe functioning. Future research, potentially using neuroimaging techniques such as positron emission tomography (PET⁸¹), may help chart relations between dopamine binding and performance on prefrontal-striatal tasks of executive function and hippocampus-dependent memory tasks in samples of participants who did and did not experience chronic, severe iron deficiency in infancy.

Conclusions

In the present study, young adults who experienced chronic, severe iron deficiency in infancy had difficulty on tests requiring inhibitory control, set-shifting, planning, and recognition memory relative to participants with good early iron status. Although some of the effects were subtle and require confirmation through replication, previous investigations with this sample have indicated that affected participants were impaired on global measures of cognitive, affective, and motor functioning in infancy^{9,10,13} and at 5 years,^{15,51} cognition and affect at 11–14 years,¹⁶ and overall cognitive functioning up to 19 years.¹⁷ Effects obtained on the neurocognitive battery employed in the present assessment indicate that specific deficits persist almost two decades after the identification and treatment of early iron deficiency. The pattern of results is consistent with the altered function of frontostriatal circuits and the hippocampus and suggests that alterations in neurodevelopment during the first 2 years of life may set the stage for long-term executive functioning and recognition memory. Future research is needed to assess whether the effects of chronic, severe iron deficiency in infancy are specific to the examined brain regions or whether other neural circuits are also affected. Given that iron is involved in many processes in the brain, subtle and diffuse deficits are expected, although some brain areas and functions may be more affected than others. We were unable to examine this possibility in our study because the complexity and length of the test battery at 19 years precluded a comprehensive examination of many neural systems. Nevertheless, the persistence of negative outcomes on measures of executive function and recognition memory in this study and on other measures of long-term cognitive, affective, and motor performance in related research highlights the need for primary prevention to reduce the prevalence of iron deficiency and secondary prevention to lessen the long-term effects of this pervasive nutrient disorder.

Acknowledgements

This research was supported by grants from the National Institutes of Health, including a MERIT Award to Betsy Lozoff MD (R01-HD3 1606 and R23-HD3 1606). The authors are grateful to the families who have maintained their commitment to participating in these studies for almost two decades; to Elias Jimenez MD for his leadership on this project

since its inception; to Eduardo Lugo PhD for his assistance with data reduction; and to Katy Mack Clark MA for her comments on a previous draft of this manuscript.

References

1. Stoltzfus RJ. Defining iron-deficiency anemia in public health terms: a time for reflection. *J Nutr* 2001; **131**: 565S–567S.
2. Report of the UNICEF/WHO Regional Consultation. *Prevention and Control of Iron Deficiency Anaemia in Women and Children*. Geneva: UNICEF/WHO, 1999.
3. Brotanek JM, Halterman J, Auinger P, Flores G, Weitzman M. Iron deficiency, prolonged bottle-feeding, and racial/ethnic disparities in young children. *Arch Pediatr Adolesc Med* 2005; **159**: 1038–1042.
4. Lozoff B, Georgieff MK. Iron deficiency and brain development. *Semin Pediatr Neurol* 2006; **13**: 158–165.
5. Akman M, Cebeci D, Okur V, Angin H, Abah O, Akman AC. The effects of iron deficiency on infants' developmental test performance. *Acta Paediatr* 2004; **93**: 1391–1396.
6. Hasanbegovic E, Sabanovic S. Effects of iron therapy on motor and mental development of infants and small children suffering from iron deficiency anaemia (in Bosnian). *Medicinski Archiv* 2004; **58**: 227–229.
7. Idjradinata P, Pollitt E. Reversal of developmental delays in iron-deficient anaemic infants treated with iron. *Lancet* 1993; **341**: 1–4.
8. Lozoff B, Brittenham GM, Viteri FE, Wolf AW, Urrutia JJ. Developmental deficits in iron deficient infants: Effects of age and severity of iron lack. *J Pediatr* 1982; **101**: 948–952.
9. Lozoff B, Brittenham GM, Wolf AW et al. Iron deficiency anemia and iron therapy: effects on infant developmental test performance. *Pediatrics* 1987; **79**: 981–995.
10. Walter T, De Andraca I, Chadud P, Perales CG. Iron deficiency anemia: adverse effects on infant psychomotor development. *Pediatrics* 1989; **84**: 7–17.
11. Walter T, Kovalskys J, Stekel A. Effect of mild iron deficiency on infant mental development scores. *J Pediatr* 1983; **102**: 519–522.
12. Lozoff B, Klein NK, Nelson EC, McClish DK, Manuel M, Chacon ME. Behavior of infants with iron deficiency anemia. *Child Dev* 1998; **69**: 24–36.
13. Lozoff B, Wolf AW, Jimenez E. Iron deficiency anemia and infant development: effects of extended oral iron therapy. *J Pediatr* 1996; **129**: 382–389.
14. Palti H, Meijer A, Adler B. Learning achievement and behavior at school of anemic and non-anemic infants. *Early Hum Dev* 1985; **10**: 217–223.
15. Lozoff B, Jimenez E, Wolf AW. Long-term developmental outcome of infants with iron deficiency. *N Engl J Med* 1991; **325**: 687–694.
16. Lozoff B, Jimenez E, Hagen J, Mollen E, Wolf AW. Poorer behavioral and developmental outcome more than 10 years after treatment for iron deficiency in infancy. *Pediatrics* 2000; **105**: E51.
17. Lozoff B, Jimenez E, Smith JB. Double burden of iron deficiency and low socio-economic status: a longitudinal analysis of cognitive test scores to 19 years. *Arch Pediatr Adolesc Med* 2006; **160**: 1108–1113.
18. Dallman PR. Biochemical basis for the manifestations of iron deficiency. *Annu Rev Nutr* 1986; **6**: 13–40.
19. Beard JL, Felt B, Schallert T, Burhans M, Connor JR, Georgieff MK. Moderate iron deficiency in infancy: biology and behavior in young rats. *Behav Brain Res* 2006; **170**: 224–232.
20. Erikson K, Pinero DJ, Connor JR, Beard JL. Regional brain iron, ferritin and transferrin concentrations during iron deficiency and iron repletion in developing rats. *J Nutr* 1997; **127**: 2030–2038.
21. Felt BT, Beard JL, Schallert T et al. Persistent neurochemical and behavioral abnormalities in adulthood despite early iron supplementation for perinatal iron deficiency anemia in rats. *Behav Brain Res* 2006; **171**: 261–270.
22. Rao R, Tkac I, Townsend EL, Gruetter R, Georgieff MK. Perinatal iron deficiency alters the neurochemical profile of the developing rat hippocampus. *J Nutr* 2003; **133**: 3215–3221.
23. Beard JL, Connor JR. Iron status and neural functioning. *Annu Rev Nutr* 2003; **23**: 41–58.

24. Ashkenazi R, Ben Shachar D, Youdim MB. Nutritional iron and dopamine binding sites in the rat brain. *Pharmacol Biochem Behav* 1982; **17** (Suppl 1): 43–47.
25. Beard JL, Chen Q, Connor J, Jones BC. Altered monamine metabolism in caudate-putamen of iron-deficient rats. *Pharmacol Biochem Behav* 1994; **48**: 621–624.
26. Nelson C, Erikson K, Pinero DJ, Beard JL. *In vivo* dopamine metabolism is altered in iron-deficient anemic rats. *J Nutr* 1997; **127**: 2282–2288.
27. Beard JL, Erikson KM, Jones BC. Neonatal iron deficiency results in irreversible changes in dopamine function in rats. *J Nutr* 2003; **133**: 1174–1179.
28. Ben-Shachar D, Ashkenazi R, Youdim MBH. Long-term consequence of early iron-deficiency on dopaminergic neurotransmission in rats. *Int J Dev Neurosci* 1986; **4**: 81–88.
29. Lozoff B, Beard J, Connor J, Felt B, Georgieff M, Schallert T. Long-lasting neural and behavioral effects of iron deficiency in infancy. *Nutr Rev* 2006; **64**: S34–S43.
30. Seamans JK, Yang CR. The principal features and mechanisms of dopamine modulation in the prefrontal cortex. *Prog Neurobiol* 2004; **74**: 1–58.
31. Brozoski TJ, Brown RM, Rosvold HE, Goldman RS. Cognitive deficit caused by regional depletion of dopamine in prefrontal cortex of rhesus monkey. *Science* 1979; **205**: 929–932.
32. Sawaguchi T, Goldman-Rakic PS. D₁ dopamine receptors in prefrontal cortex: Involvement in working memory. *Science* 1991; **251**: 947–950.
33. Sawaguchi T, Matsumura M, Kubota K. Effects of dopamine antagonists on neuronal activity related to a delayed response task in monkey prefrontal cortex. *J Neurophysiol* 1990; **63**: 1401–1412.
34. Mehta MA, Swainson R, Ogilvie AD, Sahakian J, Robbins TW. Improved short-term spatial memory but impaired reversal learning following the dopamine D(2) agonist bromocriptine in human volunteers. *Psychopharmacology* 2001; **159**: 10–20.
35. Mehta MA, Sahakian BJ, McKenna PJ, Robbins TW. Systemic sulpiride in young adult volunteers simulates the profile of cognitive deficits in Parkinson's disease. *Psychopharmacology* 1999; **146**: 162–174.
36. Tost H, Meyer-Lindenberg A, Klein S et al. D₂ antidopaminergic modulation of frontal lobe function in healthy human subjects. *Biol Psychiatry* 2006; **60**: 1196–1205.
37. Jorgenson LA, Wobken JD, Georgieff MK. Perinatal iron deficiency alters apical dendritic growth in hippocampal CA1 pyramidal neurons. *Dev Neurosci* 2003; **25**: 412–420.
38. Jorgenson L, Sun M, O'Connor M, Georgieff MK. Fetal iron deficiency disrupts the maturation of synaptic efficacy in area CA1 of the developing rat hippocampus. *Hippocampus* 2005; **15**: 1094–1102.
39. Felt BT, Lozoff B. Brain iron and behavior of rats are not normalized by treatment of iron deficiency anemia during early development. *J Nutr* 1996; **126**: 693–701.
40. McEcheron MD, Cheng AY, Liu H, Connor JR, Gilmartin MR. Perinatal nutritional iron deficiency permanently impairs hippocampus-dependent trace fear conditioning in rats. *Nutr Neurosci* 2005; **8**: 195–206.
41. Siddappa AM, Georgieff MK, Wewerka S, Worwa C, Nelson CA, deRegnier R-A. Iron deficiency alters auditory recognition memory in newborn infants of diabetic mothers. *Pediatr Res* 2004; **55**: 1034–1041.
42. Nelson CA, Wewerka S, Thomas KM, Tribby-Walbridge S, deRegnier R-A, Georgieff M. Neurocognitive sequelae of infants of diabetic mothers. *Behav Neurosci* 2000; **114**: 950–956.
43. Nelson CA, Wewerka SS, Borscheid AJ, deRegnier RA, Georgieff MK. Electrophysiologic evidence of impaired cross-modal recognition memory in 8-month-old infants of diabetic mothers. *J Pediatr* 2003; **142**: 575–582.
44. DeBoer T, Wewerka S, Bauer PJ, Georgieff MK, Nelson CA. Explicit memory performance in infants of diabetic mothers at 1 year of age. *Dev Med Child Neurol* 2005; **47**: 525–531.
45. DeBoer T, Miller NC, Bauer PJ, Georgieff MK, Nelson CA. Long-term consequences of prenatal iron deficiency due to diabetes mellitus on children's explicit memory performance. *Pediatr Res* 2009; In press.
46. Burden MJ, Westerlund AJ, Armony-Sivan R et al. An event-related potential study of attention and recognition memory in infants with iron-deficiency anemia. *Pediatrics* 2007; **120**: e336–e345.
47. Lukowski AF. The influence of iron deficiency and socioeconomic status in infancy on global cognitive outcomes at 19 years. 2008; Unpublished raw data.
48. Cook JD, Finch CA. Assessing iron status of a population. *Am J Clin Nutr* 1979; **32**: 2115–2119.
49. Deinard AS, Schwartz S, Yip R. Developmental changes in serum ferritin and erythrocyte protoporphyrin in normal (nonanemic) children. *Am J Clin Nutr* 1983; **38**: 71–75.
50. Saarinen UM, Siimes MA. Developmental changes in serum iron, total iron-binding capacity, and transferrin saturation in infancy. *J Pediatr* 1977; **91**: 875–877.
51. Corapci F, Radan AE, Lozoff B. Iron deficiency in infancy and mother-child interaction at 5 years. *J Behav Dev Pediatr* 2006; **27**: 371–378.
52. Shafir T, Angulo-Barroso R, Calatroni A, Jimenez E, Lozoff B. Effects of iron deficiency on patterns of motor development over time. *Hum Move Sci* 2006; **25**: 821–838.
53. Felt B, Jimenez E, Smith J et al. Iron deficiency in infancy predicts altered serum prolactin response 10 years later. *Pediatr Res* 2006; **60**: 513–517.
54. Army Individual Test Battery. *Manual of Directions and Scoring*. Washington DC: Adjutant General's Office, 1944.
55. Reitan RM. Trail making test results for normal and brain-damaged children. *Percept Motil Skills* 1971; **33**: 575–581.
56. Gaudino EA, Geisler MW, Squires NK. Construct validity in the Trail Making Test: what makes Part B harder? *J Clin Exp Neuropsychol* 1995; **17**: 529–535.
57. Arbuthnott K, Frank J. Trail making test, part B as a measure of executive control: validation using a set-switching paradigm. *J Clin Exp Neuropsychol* 2000; **22**: 518–528.
58. Lezak MD. *Neuropsychological Assessment*. New York: Oxford University Press, 1983.
59. Crowe SF. The differential contribution of mental tracking, cognitive flexibility, visual search, and motor speed to performance on parts A and B of the Trail Making Test. *J Clin Psychol* 1998; **54**: 585–591.
60. Stuss DT, Bisschop SM, Alexander MP, Levine B, Katz D, Izukawa D. The Trail Making Test: a study in focal lesion patients. *Psychol Assess* 2001; **13**: 230–239.
61. Dagher A, Owen AM, Boecker H, Brooks DJ. The role of the striatum and hippocampus in planning: a PET activation study in Parkinson's disease. *Brain* 2001; **124**: 1020–1032.
62. Downes JJ, Roberts AC, Sahakian BJ, Evenden JL, Morris RG, Robbins TW. Impaired extra-dimensional shift performance in medicated and unmedicated Parkinson's disease: evidence for a specific attentional dysfunction. *Neuropsychologia* 1989; **27**: 1329–1343.
63. Luciana M, Nelson CA. Assessment of neuropsychological function through use of the Cambridge Neuropsychological Testing Automated Battery: performance in 4- to 12-year-old children. *Dev Neuropsychol* 2002; **22**: 595–624.
64. Luciana M, Nelson CA. The functional emergence of prefrontally-guided working memory systems in four- to eight-year-old children. *Neuropsychologia* 1998; **36**: 273–293.
65. Goldberg MC, Mostofsky SH, Cutting LE et al. Subtle executive impairment in children with autism and children with ADHD. *J Autism Dev Disord* 2005; **35**: 279–293.
66. Cools R, Barker RA, Sahakian BJ, Robbins TW. Enhanced or impaired cognitive function in Parkinson's disease as a function of dopaminergic medication and task demands. *Cereb Cortex* 2001; **11**: 1136–1143.
67. Legallet E, Pons JC, Trouche E. Methods of motor performance evaluation: application to a primate model for basal ganglia pathology. *J Neurosci Methods* 1994; **55**: 79–87.
68. Cole BJ, Robbins TW. Effects of 6-hydroxydopamine lesions of the nucleus accumbens septi on performance of a 5-choice serial reaction time task in rats: implications for theories of selective attention and arousal. *Behav Brain Res* 1989; **33**: 165–179.
69. Shallice T. Specific impairments of planning. *Philos Trans R Soc Lond B Biol Sci* 1982; **298**: 199–209.
70. Dias R, Robbins TW, Roberts AC. Dissociation in prefrontal cortex of affective and attentional shifts. *Nature* 1996; **380**: 69–72.
71. Collins P, Wilkinson LS, Everitt BJ, Robbins TW, Roberts AC. The effect of dopamine depletion from the caudate nucleus of the

- common marmoset (*Callithrix jacchus*) on tests of prefrontal cognitive function. *Behav Neurosci* 2000; **114**: 3–17.
72. Owen AM, Sahakian BJ, Semple J, Polkey CE, Robbins TW. Visuo-spatial short-term recognition memory and learning after temporal lobe excisions, frontal lobe excisions or amygdalo-hippocampectomy in man. *Neuropsychologia* 1995; **33**: 1–24.
 73. Petrides M, Milner B. Deficits on subject-ordered tasks after frontal- and temporal-lobe lesions in man. *Neuropsychologia* 1982; **20**: 249–262.
 74. Fray PJ, Robbins TW, Sahakian BJ. Neuropsychiatric applications of CANTAB. *Int J Geriatric Psychiatry* 1996; **11**: 329–336.
 75. Owen AM, Downes JJ, Sahakian BJ, Polkey CE, Robbins TW. Planning and spatial working memory following frontal lobe lesions in man. *Neuropsychologia* 1990; **28**: 1021–1034.
 76. Coull JT, Frith CD, Frackowiak RSJ, Grasby PM. A fronto-parietal network for rapid visual information processing: a PET study of sustained attention and working memory. *Neuropsychologia* 1996; **34**: 1085–1095.
 77. Bachevalier J, Mishkin M. Visual recognition impairment follows ventromedial but not dorsolateral prefrontal lesions in monkeys. *Behav Brain Res* 1986; **20**: 249–261.
 78. Steckler T, Drinkenburg WH, Sahgal A, Aggleton JP. Recognition memory in rats—II. Neuroanatomical substrates. *Prog Neurobiol* 1998; **54**: 313–332.
 79. Kornblum S, Hasbroucq T, Osman A. Dimensional overlap: cognitive basis for stimulus-response compatibility – a model and taxonomy. *Psychol Rev* 1990; **97**: 253–270.
 80. Rothman K. No adjustments are needed for multiple comparisons. *Epidemiology* 1990; **1**: 43–46.
 81. Lawrence AD, Weeks RA, Brooks DJ et al. The relationship between striatal dopamine receptor binding and cognitive performance in Huntington's disease. *Brain* 1998; **121**: 1343–1355.
 82. Owen AM, Iddon JL, Hodges JR, Summers BA, Robbins TW. Spatial and non-spatial working memory at different stages of Parkinson's disease. *Neuropsychologia* 1997; **35**: 519–532.
 83. Mehta MA, Hinton EC, Montgomery AJ, Bantick RA, Grasby PM. Sulpiride and mnemonic function: effects of a dopamine D2 receptor antagonist on working memory, emotional memory and long-term memory in healthy volunteers. *J Psychopharmacol* 2005; **19**: 29–38.
 84. Golub MS, Hogrefe CE, Germann SL. Iron deprivation during fetal development changes the behavior of juvenile rhesus monkeys. *J Nutr* 2007; **137**: 979–984.
 85. Golub MS, Hogrefe CE, Germann SL, Capitano JL, Lozoff B. Behavioral consequences of developmental iron deficiency in infant rhesus monkeys. *Neurotoxicol Teratol* 2006; **28**: 3–17.
 86. Oski FA, Honig AS. The effects of therapy on the developmental scores of iron-deficient infants. *J Pediatr* 1978; **92**: 21–25.
 87. Angulo-Kinzler RM, Peirano P, Lin E, Algarin C, Garrido M, Lozoff B. Twenty-four-hour motor activity in human infants with and without iron deficiency anemia. *Early Hum Dev* 2002; **70**: 85–101.
 88. Bruck A, Aalto S, Nurmi E, Bergman J, Rinne JO. Cortical 6-[¹⁸F]fluoro-L-dopa uptake and frontal cognitive functions in early Parkinson's disease. *Neurobiol Aging* 2005; **26**: 891–898.
 89. Takahashi H, Kato M, Hayashi M et al. Memory and frontal lobe functions; possible relations with dopamine D₂ receptors in the hippocampus. *Neuroimage* 2007; **34**: 1643–1649.
 90. Gurden H, Tassin JP, Jay TM. Integrity of the mesocortical dopaminergic system is necessary for complete expression of in vivo hippocampal-prefrontal cortex long-term potentiation. *Neuroscience* 1999; **94**: 1019–1027.
 91. Torres MA, Braga JA, Taddei JA, Nobrega FJ. Anemia in low-income exclusively breastfed infants. *J Pediatr* 2006; **82**: 284–287.
 92. Thierry AM, Gioanni Y, Degenetais E, Glowinski J. Hippocampal-prefrontal cortex pathway: anatomical and electrophysiological characteristics. *Hippocampus* 2000; **10**: 411–419.
 93. Gurden H, Takita M, Jay TM. Essential role of D₁ but not D₂ receptors in the NMDA receptor-dependent long-term potentiation at hippocampal-prefrontal cortex synapses in vivo. *J Neurosci* 2000; **20**: 1–5.
 94. Mishkin M. A memory system in the monkey. *Philos Trans R Soc Lond B Biol Sci* 1982; **298**: 83–95.
 95. Petrides M. Monitoring of selections of visual stimuli and the primate frontal cortex. *Proc Biol Sci* 1991; **246**: 293–298.