

Based on collaborations with:

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- S. Ramos-Sánchez, G. Ross, R. Schieren, K. Schmidt-Hoberg,
- A. Trautner, V. Takhistov, P. Vaudrevange & A. Wingerter

















The

standard model of particle phyics

is extremely successful in describing observation.





picture taken from http://www.nobelprize.org/

Physics beyond the standard model

- Main reasons for going beyond the standard model of particle physics:
 - **1.** Observation: neither the observed cold dark matter nor the baryon asymmetry can be explained in the standard model.



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electromagnetism

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 - **3.** Aesthetics: the structure and the large amount of parameters in the standard model ask for a simple, arguably more fundamental explanation.



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 - **2.** Conceptual: the standard model is based on a quantum field theory, in which, however, it appears difficult to incorporate gravity.
 - **3.** Aesthetics: the structure and the large amount of parameters in the standard model ask for a simple, arguably more fundamental explanation.

bottom-line:

New physics needed to describe our world at the microscopic level!

 \checkmark

Outline

Introduction

2 Grand unification in four & more dimensions

- **3** Stringy models of particle physics
- 4 Expectations and tests

Summary

Grand Unification

... in 4 dimensions

Concept

Gauge structure of the standard model

 ${\ensuremath{\,{\rm SM}}}$ Interactions come from gauge symmetries $G_{\rm SM} \ = \ SU(3)_{\rm C} \times SU(2)_{\rm L} \times U(1)_{Y}$

Grand unification

Gauge structure of the standard model

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Matter multiplets: 3 copies of why 3 generations?

 $(\mathbf{3},\mathbf{2})_{^{1\!/\!6}} \oplus (\overline{\mathbf{3}},\mathbf{1})_{^{-2\!/\!3}} \oplus (\mathbf{1},\mathbf{1})_1 \oplus (\overline{\mathbf{3}},\mathbf{1})_{^{1\!/\!3}} \oplus (\mathbf{1},\mathbf{2})_{^{-1\!/\!2}}$

left-	right-	right-	right-	left-
handed	handed	handed	handed	handed
quark	<i>u</i> type	charged	d type	lepton
doublets	quarks	leptons	quarks	doublets



Grand unification

Gauge structure of the standard model

Interactions come from gauge symmetries $G_{\rm SM} = {
m SU}(3)_{
m C} \times {
m SU}(2)_{
m L} \times {
m U}(1)_{
m Y}$



stated differently: why are atoms neutral?

🖙 electric charge = hypercharge + weak isospin

Gauge structure of the standard model

 \mathbb{C} Interactions come from gauge symmetries $G_{\rm SM} = \frac{{
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Matter multiplets: 3 copies of $(3, 2)_{1/6} \oplus (\overline{3}, 1)_{-2/3} \oplus (1, 1)_1 \oplus (\overline{3}, 1)_{1/3} \oplus (1, 2)_{-1/2}$

Local SU(3) rotation : e.g. down quark

$$\left(egin{array}{c} \psi_q \ \psi_q \ \psi_q \end{array}
ight)
ightarrow \left(egin{array}{c} * & * & * \ * & * & * \ * & * & * \end{array}
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Local SU(2) rotation : e.g. lepton doublet

$$\left(\begin{array}{c} \psi_{\nu} \\ \psi_{e} \end{array}\right) \quad \rightarrow \qquad \qquad \left(\begin{array}{c} * & * \\ * & * \end{array}\right) \left(\begin{array}{c} \psi_{\nu} \\ \psi_{e} \end{array}\right)$$

Grand unification

Gauge structure of the standard model

 $\label{eq:matrix} \begin{array}{c} {}^{\scriptstyle \hbox{\tiny $\ensuremath{\mathbb{S}}$}} \end{array} & \text{Matter multiplets: 3 copies of} \\ ({\bf 3},{\bf 2})_{{\scriptstyle 1/_6}} \oplus (\overline{{\bf 3}},{\bf 1})_{{\scriptstyle -2/_3}} \oplus ({\bf 1},{\bf 1})_{{\bf 1}} \oplus (\overline{{\bf 3}},{\bf 1})_{{\scriptstyle 1/_3}} \oplus ({\bf 1},{\bf 2})_{{\scriptstyle -1/_2}} \end{array}$

Local SU(5) rotation

Georgi & Glashow (1974)



Grand unification

Gauge structure of the standard model

Matter multiplets: 3 copies of

$$\underbrace{(3,2)_{\frac{1}{6}} \oplus (\overline{3},1)_{\frac{-2}{3}} \oplus (1,1)_{1}}_{= 10} \oplus \underbrace{(\overline{3},1)_{\frac{1}{3}} \oplus (1,2)_{\frac{-1}{2}}}_{= \overline{5}}$$

Local SU(5) rotation

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Grand unification

Gauge structure of the standard model



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Local SU(5) rotation

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bottom-line:

All known (gauge) interactions can be unified!

SU(5)

SU(5) grand unified theory (GUT) \ldots

- explains charge quantization
- 🖙 simplifies matter content

SM generation = $10 + \overline{5}$

SU(5) and SO(10)

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further simplification of matter sector

Fritzsch & Minkowski (1975)

- $SO(10) \supset SU(5)$
 - $16 = 10 \oplus \overline{5} \oplus 1$
 - = SM generation with `right-handed' neutrino

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- 🖙 However: coupling strengths are measured to be different

Grand unification

Support for grand unification

Gauge coupling (non-)unification



Gauge coupling evolution in the SM: qualitatively nice: couplings run towards each other

Grand unification

-Support for grand unification

Gauge coupling (non-)unification



- Gauge coupling evolution in the SM: qualitatively nice: couplings run towards each other
- **However**: couplings do not meet at a point

Grand unification

Support for grand unification

(Minimal) supersymmetric standard model



Grand unification

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Grand unification

Support for grand unification

Gauge coupling unification in the MSSM

 Running couplings in the (minimal) supersymmetric standard model (MSSM)
 Dimopoulos, Raby & Wilczek (1981)



Grand unification

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Towards a unified description of Nature Grand unification

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There is only one coupling, we observe different coupling strengths only because of quantum effects Towards a unified description of Nature Grand unification
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Support for grand unification

Grand unification: virtues & predictions

GUTs explain charge quantization

-Support for grand unification

Grand unification: virtues & predictions

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main prediction of GUTs:

matter unstable

- 🖙 GUTs explain charge quantization
- \mathbb{S} In SO(10): understanding of the structure of SM matter
- Gauge coupling unification (... with supersymmetry)
- Prediction: proton decay



main prediction of GUTs:

matter unstable \sim one day our universe will be `empty'

Grand unification

Doublet-triplet splitting problem



Grand unification

Doublet-triplet splitting problem



Grand unification

Doublet-triplet splitting in four dimensions





- there exist proposals to solve the doublet-triplet splitting problem, e.g.
 - Dimopoulos–Wilczek mechanism

Dimopoulos & Wilczek (1981)

Missing partner mechanism

Masiero, Nanopoulos, Tamvakis & Yanagida (1982)

• • • •



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Missing partner mechanism

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• ...

 \ldots however, a closer inspection shows that all of them have certain deficiencies



Natural' solution of the doublet-triplet splitting problem requires a symmetry that forbids Higgs mass μ

According to 't Hoofts `naturalness' criteria: explaining a (supersymmetric) Higgs mass $\mu \ll M_{\rm GUT}$ requires a symmetry that forbids μ .



- Only <u>R</u> symmetries can do the job

superpartners have different charges

Hall, Nomura & Pierce (2002) ; Lee, Raby, M.R., Ross, Schieren, et al. (2011) ; Chen, Fallbacher & M.R. (2012)

- anomaly freedom
- fermion masses
 (Yukawa couplings & neutrino mass operator)
- consistency with SU(5)
- gauge coupling unification

only R symmetries can forbid the μ term in the MSSM ...and R parity is not enough



- Natural' solution of the doublet-triplet splitting problem requires a symmetry that forbids Higgs mass μ
- Sonly *R* symmetries can do the job and *R* parity does not
- However: R symmetries are not available in 4D GUTs

Fallbacher, M.R. & Vaudrevange (2011)

- GUT group $G \supset SU(5)$
- spontaneous breaking
- finite number of fields

cannot have
 exact MSSM spectrum &
 residual *R* symmetries
 (which are stronger than *R* parity)
 in four dimensions



- Natural' solution of the doublet-triplet splitting problem requires a symmetry that forbids Higgs mass μ
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remainder of this talk:

Grand Unification in extra dimensions

Higher-dimensional GUTs from strings

GUTs from strings

String compactifications

String compactifications



Violin: needs to be constructed in such a way that the oscillating strings produce the right sounds GUTs from strings

String compactifications

String compactifications



Violin: needs to be constructed in such a way that the oscillating strings produce the right sounds



String compactification: twist the string in such a way that the excitations carry the quantum numbers of the standard model particles

🖙 (Super–)String theory predicts six extra dimensions

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- ... but for simplicity discuss only two of them

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- Simple example: \mathbb{Z}_2 orbifold plane = $\mathbb{T}^2/\mathbb{Z}_2$



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GUTs from strings

What is an orbifold?



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-What is an orbifold?

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GUTs from strings

-What is an orbifold?

What is an orbifold?



- An orbifold is a space which is smooth/flat everywhere except for special (orbifold fixed) points
- Bulk' gauge symmetry G is broken to (different) subgroups (local GUTs) at the fixed points

GUTs from strings

-What is an orbifold?

What is an orbifold?



- An orbifold is a space which is smooth/flat everywhere except for special (orbifold fixed) points
- Bulk' gauge symmetry G is broken to (different) subgroups (local GUTs) at the fixed points
- \mathbb{I} Low-energy gauge group : $G_{\text{low-energy}} = G_{\text{bl}} \cap G_{\text{br}} \cap G_{\text{tl}} \cap G_{\text{tr}}$

What are the light states of an orbifold?

Light states of effective field theory



('Brane') Fields living at fixed point with a certain symmetry appear as complete multiplet of that symmetry

What are the light states of an orbifold?

Light states of effective field theory



('Brane') Fields living at fixed point with a certain symmetry appear as complete multiplet of that symmetry

E.g. if the electron lives at a point with SO(10) symmetry also u and d quarks live there

-What is an orbifold?

Orbifold compactification with local SO(10) GUT

Cartoon of heterotic orbifold compactification with local SO(10) GUT structures

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GUTs from strings

Orbifold compactification with local SO(10) GUT

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GUTs from strings

Orbifold compactification with local SO(10) GUT

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GUTs from strings

Idea of 'local grand unification'

Local grand unification (using small extra dimensions)



Results & "stringy surprises"

Results

$1 3 \times 16 + \text{Higgs} + \text{nothing}$



Results & "stringy surprises"

- $1 3 \times 16 + \text{Higgs} + \text{nothing}$
- $2 SU(3) \times SU(2) \times U(1)_Y \times G_{hid}$



- $1 3 \times 16 + \text{Higgs} + \text{nothing}$
- 2 SU(3) × SU(2) × U(1)_Y × G_{hid}
- Unification
 precision gauge unification
 (PGU) from non-local GUT
 breaking



Results & "stringy surprises"



Results

- 3×16 + Higgs + nothing
- 2 SU(3) \times SU(2) \times U(1)_Y \times G_{hid}
- 3 unification
- 4 R parity & \mathbb{Z}_{4}^{R}





\sim proton long-lived

 \sim DM stable

- $1 3 \times 16 + \text{Higgs} + \text{nothing}$
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- **4** *R* parity & \mathbb{Z}_4^R
- **5** see-saw



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- 6 $y_t \simeq g @ M_{GUT} \&$ potentially realistic flavor structures à la Froggatt-Nielsen



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- `realistic' hidden sector
 scale of hidden sector strong dynamics is consistent with TeV-scale soft masses and realistic gauge coupling



Results & "stringy surprises"

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- **7** `realistic' hidden sector
- $\mathbf{8}$ solution to the μ problem



Results & "stringy surprises"

 3×16 + Higgs + nothing 2 SU(3) \times SU(2) \times U(1)_V \times G_{bid} 3 unification 4 R parity & \mathbb{Z}^R_4 5 see-saw **6** $y_t \simeq g @ M_{GUT} \& \text{ potentially}$ realistic flavor structures à la Froggatt-Nielsen Yealistic' hidden sector $\mathbf{0}$ solution to the μ problem

that's what we searched for...

... that's what we got `for free'

"stringy surprises"
Lessons from the model search

2+1 family models

Structure of a class of successful models:

- Two families come from two equivalent fixed points and are related by a D₄ family symmetry
- 3rd generation is a `patchwork family'
 i.e. different multiplets have different localization properties



GUTs from strings

R symmetries in stringy GUTs

Residual R symmetries

Discrete R symmetries arise as remnants of the Lorentz symmetry of compact dimensions

GUTs from strings

R symmetries in stringy GUTs

- Discrete R symmetries arise as remnants of the Lorentz symmetry of compact dimensions and are arguably on the same footing as the fundamental symmetries C, P and T
- \mathbb{S} Superpartners transform differently under R symmetries

GUTs from strings

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Residual R symmetries

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- \mathbb{I} Superpartners transform differently under R symmetries
- Solution States States and the symmetry \mathbb{Z}_4^R is the symmetry \mathbb{Z}_4

back



GUTs from strings

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- Example: order four discrete R symmetry \mathbb{Z}_4^R from \mathbb{Z}_2 orbifold plane

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GUTs from strings

Local grand unification & \mathbb{Z}_4^R

Local grand unification & \mathbb{Z}_4^R



GUTs from strings

Local grand unification & \mathbb{Z}_{4}^{R}

Local grand unification & \mathbb{Z}_4^R



Expectations



Experimental Tests

Expectations & Tests

Implications for the LHC & future colliders

Pattern of soft supersymmetry breaking masses

Scenario with SUST by `matter field' X + dilaton S

Expectations & Tests

Implications for the LHC & future colliders

Pattern of soft supersymmetry breaking masses

- Scenario with SUST by `matter field' X + dilaton S
- Mirage pattern for gaugino masses + heavy sfermions



Implications for the LHC & future colliders

Pattern of soft supersymmetry breaking masses

- \blacksquare Scenario with SUST by `matter field' X + dilaton S
- Mirage pattern for gaugino masses + heavy sfermions
- Yields natural scenario for precision gauge unification (PGU)
 Carena, Clavelli, Matalliotakis, Nilles & Wagner (1993)..., Raby, M.R. & Schmidt-Hoberg (2010) Krippendorf, Nilles, M.R. & Winkler (2013)



Expectations & Tests

Implications for the LHC and future colliders

$$\epsilon_{3} = \frac{g_{3}^{2}(M_{\text{GUT}}) - g_{1,2}^{2}(M_{\text{GUT}})}{g_{1,2}^{2}(M_{\text{GUT}})} \quad \& \quad M_{\text{SUSY}} = \frac{m_{\widetilde{W}}^{32/19} m_{\widetilde{h}}^{12/19} m_{H}^{3/19}}{m_{\widetilde{g}}^{28/19}} X_{\text{sfermion}}$$



Expectations & Tests

Implications for the LHC and future colliders

- $rac{\sf PGU}$ implies a superpartner mass scale $\sim 2\,{
 m TeV}$
- Geometric properties of ingredients of top–Yukawa coupling entail 'focus point' Krippendorf, Nilles, M.R. & Winkler (2012)

🖙 H_u , $Q_{
m L}$ & $t_{
m R}$ bulk fields

- Coinciding boundary conditions at high scale
- `Focus point'

Feng, Matchev & Moroi (2000)



Expectations & Tests

Implications for the LHC and future colliders

- ${\tt Implies}$ a superpartner mass scale $\sim 2\,TeV$
- Geometric properties of ingredients of top–Yukawa
 coupling entail 'focus point'
 Krippendorf, Nilles, M.R. & Winkler (2012)
- PGU leads to naturally to a relic density of WIMPs which is consistent with observed CDM Krippendorf, Nilles, M.R. & Winkler (2013)


Expectations & Tests

Baer, Barger, Savoy, Serce & Tata (2017)

Results from a more detailed analysis



Expectations & Tests

Highlights

Results from a more detailed analysis



Baer, Barger, Savoy, Serce & Tata (2017)



Expectations & Tests

Results from a more detailed analysis

Sample spectrum

Baer, Barger, Savoy, Serce & Tata (2017)

 ${\tt Im}$ Amazingly low fine-tunig: $\Delta_{EW} < 20$ possible

Expectations & Tests

Results from a more detailed analysis

Sample spectrum

Baer, Barger, Savoy, Serce & Tata (2017)

- ${\tt Im}$ Amazingly low fine–tunig: $\Delta_{EW} < 20$ possible
- Perhaps hard to verify at the LHC

Expectations & Tests

Proton decay

Proton decay

Mütter, M.R. & Vaudrevange (2016)

\mathbb{Z}_4^R symmetry:

- no dimension 4 proton decay
- dimension 5 proton decay negligible

Proton decay



Mütter, M.R. & Vaudrevange (2016)





Expectations & Tests

Proton decay

Proton decay

Mütter, M.R. & Vaudrevange (2016)





Expectations & Tests

Proton decay

Proton decay (cont'd)

Models with local GUT breaking: proton decay from GUT gauge boson exchange

$$\tau(p \to e^+ \pi^0) ~\sim~ 10^{35 \pm 1} \, {
m yr}$$

Uncertainties: matrix elements, $\alpha_3(m_Z)$, precise value of $m_{\text{Unification}}$ etc.





Summary

The quest for unification of all forces requires new physics beyond the standard model such as supersymmetry, extra dimensions & strings

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- Stringy completions of the standard model allow us to answer some of the basic questions:
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 - Repetition of families from extra dimensions
 - Discrete remnants of the Lorentz group of compact space explain the longevity of the nucleon and the stability of dark matter

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 - Family as a 16-plet of SO(10)
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 - Discrete remnants of the Lorentz group of compact space explain the longevity of the nucleon and the stability of dark matter
- Testable predictions for the scale of superpartner masses, the nature of dark matter and proton decay

Thank you very much for your attention!



Backup slides



Minkowski (1977) Gell-Mann, Ramond & Slansky (1979) Yanagida (1979)



 $v_{\rm EW} \sim 100 \, {\rm GeV}$ v = `left-handed' neutrino $\bar{v} = `right-handed' neutrino$







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Naive expectation: $m_{\overline{\nu}} \sim M_{\rm GUT}$ $m_{\nu} \sim (100 \,{\rm GeV})^2 / 10^{16} \,{\rm GeV} \sim 10^{-3} \,{\rm eV}$



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Rough (although not perfect) agreement

Dimension five proton decay



Dimension five proton decay





и

Dimension five proton decay



 $au(p
ightarrow K^+ + ar{
u}) \gtrsim 3 imes 10^{33} ext{ y}$ $au m_{ ext{triplet}} \gtrsim 10^{19} ext{ GeV}$

SO(10) breaking by Higgs mechanism



GUT breaking by Higgs: need large Higgs representations $(54, \overline{126}, 210) \sim \text{lot of `junk'}$ (which, however, can be paired up)

Backup slides

What are the light states of an orbifold?

Light states of effective field theory



('Brane') Fields living at fixed point with a certain symmetry appear as complete multiplet of that symmetry Backup slides

What are the light states of an orbifold?

Light states of effective field theory



('Brane') Fields living at fixed point with a certain symmetry appear as complete multiplet of that symmetry

 E.g. if the electron lives at a point with SO(10) symmetry also u and d quarks live there Backup slides $\begin{tabular}{c} \begin{tabular}{c} \begin{tabular}{$

Unique \mathbb{Z}_4^R symmetry for the MSSM

Lee, Raby, M.R., Ross, Schieren, et al. (2011)

- anomaly freedom
- forbid μ term
- fermion masses
 (Yukawa couplings &
 neutrino mass operator)
- consistency with SO(10)

 \sim

unique solution: discrete \mathbb{Z}_4^R symmetry **Backup slides** Unique \mathbb{Z}_4^R symmetry

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- $\begin{array}{c|c} \text{fermion masses} \\ (\text{Yukawa couplings \& neutrino mass operator}) \end{array} \left\{ \begin{array}{c} \sim \\ \\ \sim \\ \\ \end{array} \right\} \left\{ \begin{array}{c} \text{unique solution:} \\ \text{discrete } \mathbb{Z}_4^R \text{ symmetry} \\ \end{array} \right\}$ • fermion masses

• consistency with SO(10)

- $\mathbb{Z}_{4}^{R} \begin{cases} \text{• forbids } \mu \text{ term perturbatively} \\ \text{• forbids dimension 5 proton decay perturbatively} \\ \text{• contains matter}/R \text{ parity} \\ \text{• charge assignment: } \begin{cases} \text{matter: 1} \\ \text{Higgs: 0} \end{cases} \end{cases}$

Backup slides Unique \mathbb{Z}^R_4 symmetry

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 $\land \begin{cases}$ **unique** $solution: \\ discrete <math>\mathbb{Z}_4^R$ symmetry

- forbids μ term perturbatively
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$$\mathbb{Z}_4^R$$



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